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## **The Effects and Mechanisms of Phytochemicals on Alzheimer's Disease Neuropathology**

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LOMA LINDA UNIVERSITY  
School of Behavioral Health  
in conjunction with the  
Department of Psychology

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The Effects and Mechanisms of Phytochemicals on Alzheimer's Disease Neuropathology

by

David M. Ross

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A Project submitted in partial satisfaction of  
the requirements for the degree  
Doctor of Psychology

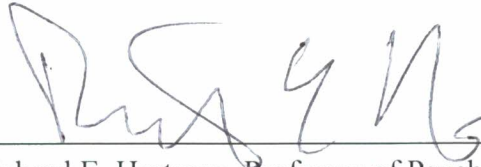
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September 2021

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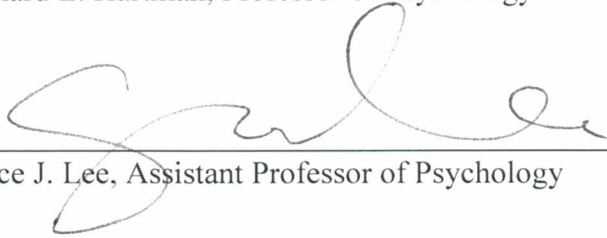
David M. Ross  
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Each person whose signature appears below certifies that this project in his/her opinion is adequate, in scope and quality, as a project for the degree Doctor of Psychology.

A handwritten signature in blue ink, appearing to read 'R. Hartman', with a long horizontal flourish extending to the right.

, Chairperson

Richard E. Hartman, Professor of Psychology

A handwritten signature in blue ink, appearing to read 'Grace J. Lee', with a large loop at the end.

Grace J. Lee, Assistant Professor of Psychology

## ACKNOWLEDGEMENTS

I would like to express my deepest gratitude to Dr. Hartman who presented me with the opportunity to take part in even a small part of the incredible work that he does. His contribution to the field as well as individual involvement with students in this department mean more than he likely knows.

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## ABBREVIATIONS

A $\beta$	Amyloid Beta
AChE	Acetylcholinesterase
AD	Alzheimer's Disease
APP	Amyloid Precursor Protein
BBB	Blood Brain Barrier
CREB	cAMP response element binding protein
EGCG	epigallocatechin-3-gallate
NFT	neurofibrillary tangle
sAPP $\alpha$	soluble APP- $\alpha$
SAC	s-allyl cysteine



## ABSTRACT OF THE DOCTORAL PROJECT

The Effects and Mechanisms of Phytochemicals on Alzheimer's Disease Neuropathology

by

David M. Ross

Doctor of Psychology, Graduate Program in Psychology  
Loma Linda University, September 2021  
Dr. Richard E Hartman, Chairperson

Alzheimer's disease affects millions of people, yet pharmacological treatments are limited. In the absence of effective treatments, identifying factors that can decrease the risk of developing Alzheimer's disease is of significant interest. A growing body of epidemiological and experimental evidence suggests that dietary fruits and vegetables can have neuroprotective effects against the harmful effects of oxidative stress, neuroinflammation, and aging. These effects are mediated by various phytochemicals, which are compounds found in plants that can possess antioxidant, anti-inflammatory, and other beneficial properties. This review addresses epidemiological and experimental evidence for the effects and potential mechanisms of several commonly consumed phytochemicals on Alzheimer's disease neuropathology and outcomes. The data suggest that regular consumption of bioactive phytochemicals from a variety of fruits and vegetables attenuates age- and insult-related Alzheimer's disease neuropathology.

## **CHAPTER ONE**

### **INTRODUCTION**

Phytochemicals are compounds produced by plants, some of which (e.g., phenols, terpenes, and organosulfurs) result in pigmentation, odors, and irritants that can protect the plant from internal (e.g., metabolic) insults like protein overexpression and free radical reactive oxygen species (ROS), and external (e.g., environmental) insults like predators, pathogens, ultraviolet radiation, and other threats to the plants' survival. Consumption of plants that produce these phytochemicals seems to produce health benefits for humans mediated by modulating several biological pathways, including inflammatory processes, neuronal cell death (apoptosis), neurogenesis, neurotransmission, and enzyme function (Rossi, Mazzitelli, Arciello, Capo, & Rotilio, 2008; M. Singh, Arseneault, Sanderson, Murthy, & Ramassamy, 2008). Many of these pathways have a direct effect on the development of Alzheimer's disease (AD) and other types of age-related neuropathology. This review will provide a brief overview of AD etiology, followed by an outline of dietary phytochemicals that have been shown to affect age- and AD-related neuropathology and functional outcomes.

## **CHAPTER TWO**

### **ALZHEIMER'S DISEASE NEUROPATHOLOGY**

Recent prevalence rates suggest that over 5 million Americans currently have AD (Alzheimer's Association, 2014), which is behaviorally characterized by a presentation of memory, motor, language, and executive dysfunction. The neuropathological markers of AD were originally thought to be limited to the formation of amyloid plaques surrounding neurons and the presence of neurofibrillary tangles (NFTs) of tau protein inside neurons. However, in recent years, the significance of mitochondrial dysfunction, neuroinflammation, astrogliosis, microglial activation, synaptic loss, neuronal damage, apoptosis, disruption of blood brain barrier (BBB) permeability, bacterial and viral infections, and intestinal microbiota have all been identified as significant contributors to AD neuropathology (Alonso et al., 2014; Bayer & Wirths, 2010; Block & Hong, 2005; Borgesi et al., 2011; Bredesen, 2009; Cherry, Olschowka, & O'Banion, 2014; Devi & Anandatheerthavarada, 2010; Houlden et al., 2016; Moreira, Carvalho, Zhu, Smith, & Perry, 2010; Rubio-Perez & Morillas-Ruiz, 2012; Schindowski, Leutner, Kressmann, Eckert, & Müller, 2001; Soscia et al., 2010; Terwel et al., 2011; Xue, Sparks, & Streit, 2007; Yao et al., 2004; Zlokovic, 2008).

Plaque accumulation has been identified in the medial temporal lobe, particularly the hippocampus and entorhinal cortex, prior to the emergence of behavioral symptoms. These structures have been implicated in learning and memory processes, which explains the cognitive impairments associated with AD. The extracellular plaques consist mainly of amyloid-beta ( $A\beta$ ) peptides cleaved from larger amyloid precursor proteins (APP) by  $\gamma$ -secretase and  $\beta$ -secretase enzymes. The increasing concentration of extracellular  $A\beta$

monomers gradually results in their polymerization into diffuse aggregates and eventually dense-core amyloid plaques (Wirths, Multhaup, & Bayer, 2004). Other proteins (e.g., apolipoproteins) and non-proteins (e.g., metals, hemes, and ROS) have also been found within the plaques (Dong et al., 2003; Mohsenzadegan & Mirshafiey, 2012; Roher, Palmer, Yurewicz, Ball, & Greenberg, 1993; Rosen et al., 2016). An age related increase in cortical and subcortical amyloid plaque levels is one of the most salient AD biomarkers (Victor L. Villemagne et al., 2011). NFTs, another prevalent AD biomarker, are damaged tau-based microtubules that disrupt intracellular transport mechanisms. Typically, these damaged neurons are found in areas with higher A $\beta$  concentrations. Eventually, these damaged neurons are unable to function properly, leading to neuronal death. One current hypothesis for the etiology of AD is that the gradual accumulation of A $\beta$  between the neurons initiates inflammatory and oxidative processes that lead to the formation of synaptic loss, NFTs, and neurodegeneration, particularly in neurons that use acetylcholine and glutamate (Palop & Mucke, 2010; Selkoe, 2002; Wirths et al., 2004).

A $\beta$  neurotoxicity has been demonstrated in hippocampal cell cultures (Reifert, Hartung-Cranston, & Feinstein, 2011), and the deleterious effects of A $\beta$  deposition on synaptic functioning in the brain have been demonstrated using long-term potentiation (LTP), an in vitro model of learning and memory (Kimura, MacTavish, Yang, Westaway, & Jhamandas, 2012; Shipton et al., 2011; Walsh et al., 2002). A $\beta$  has also been shown to induce hypersensitivity to excitotoxicity (i.e., damage caused by dysfunctional firing of glutamate) and oxidative stress in vitro (Matos, Augusto, Oliveira, & Agostinho, 2008; Nakayama et al., 2011). Furthermore, the formation of A $\beta$ -heme peroxidase complexes within A $\beta$  plaques begins a neuroinflammatory cascade leading to release of ROS and

damage to muscarinic acetylcholine receptors within the brain (Atamna, Frey, & Ko, 2009; Fawcett et al., 2002). Importantly, these damaging effects can be ameliorated by dietary antioxidants (Kiko et al., 2012; Ono, Hamaguchi, Naiki, & Yamada, 2006; Peake, Suzuki, & Coombes, 2007). Although the accumulation of extracellular A $\beta$  plaques is a prominent feature of the AD brain, synaptic loss within and surrounding the plaques may be a better predictor of the cognitive dysfunction seen in AD than the total amount of A $\beta$  plaque deposition (Giannakopoulos et al., 2003; Schmitz et al., 2004). Individuals may be biologically more or less susceptible to neuronal buildup of A $\beta$ , which may explain why the overall A $\beta$  plaque burden is generally not a direct indicator of AD symptom severity (Tosun et al., 2011; V L Villemagne et al., 2013).

Although it is currently unclear whether A $\beta$  deposition is a primary cause of the neurodegeneration and behavioral deficits associated with AD, the gradual accumulation of A $\beta$  in the brain appears to be associated with progressive oxidative stress and various harmful downstream effects. Oxidative stress associated with AD is believed to be partly responsible for damage to neuronal structures that contributes to functional deficits and ultimately neuronal death. Furthermore, experimental evidence suggests that manipulating levels of A $\beta$  deposition in the brain can influence the emergence of behavioral deficits.

For example, accelerated A $\beta$  plaque accumulation tends to increase the risk of developing behavioral deficits associated with AD (i.e., learning and memory problems). Pathophysiological conditions that accelerate A $\beta$  accumulation in the brain have been shown to increase the risk of developing AD. These conditions include Down syndrome, which is characterized by an overproduction of APP in the brain, leading to elevated A $\beta$

production and deposition. Individuals with Down syndrome are typically diagnosed with some form of dementia by approximately 50 years of age (Head et al., 2001; Netzer et al., 2010; Schupf et al., 2007). Additionally, several inheritable mutations in the genes for APP or  $\gamma$ -secretase lead to elevated APP production and A $\beta$  deposition in the brain and an earlier onset of AD (Bird, 2008; Cacace, Sleegers, & Van Broeckhoven, 2016; Krüger, Moilanen, Majamaa, & Remes, 2012). Identification of these genes has resulted in the development of transgenic rodent models of AD that express high levels of human APP and develop age-related neuropathology and cognitive deficits congruent with A $\beta$  aggregation and deposition in the brain (Cohen et al., 2013; Hochgräfe, Sydow, & Mandelkow, 2013; Kitazawa, Medeiros, & M. LaFerla, 2012; Morrisette, Parachikova, Green, & LaFerla, 2009). Transgenic rodent models of AD focused on A $\beta$  plaque development appear to mirror the behavioral hallmarks of AD seen in humans diagnosed with AD (Richard E. Hartman, Lee, Zipfel, & Wozniak, 2005). Additionally, in vivo imaging shows that A $\beta$  plaques can aggregate rapidly in transgenic rodent brains, and that markers of neurodegeneration around these A $\beta$  plaques develop quickly (Meyer-Luehmann et al., 2008; Yan et al., 2009). Finally, neuroinflammatory processes and oxidative stress can induce accumulation of APP and A $\beta$  in the brain, increasing the risk for developing AD. Common sources of these insults include traumatic brain injury, stroke, chronic low-level hypoxia (e.g., due to breathing problems), the “Western” diet (Abdul-Muneer, Chandra, & Haorah, 2015; Beer, Blacker, Hankey, & Puddey, 2011; Candore et al., 2010; Chamorro, Dirnagl, Urra, & Planas, 2016; Fernández-García, Cardona, & Tinahones, 2013; Iturriaga, Moya, & Del Rio, 2015; Jelic & Le Jemtel, 2008; Pistell et al., 2010; Pradeep, Diya, Shashikumar, & Rajanikant, 2012; Stranahan, Cutler,

Button, Telljohann, & Mattson, 2011; Q.-G. Zhang et al., 2012), and (importantly) the accumulation of A $\beta$ . Oxidative stress is a common component of all brain injury and can induce further A $\beta$  accumulation, initiating a harmful cycle of progressive oxidative and inflammatory load in the brain (Castellano et al., 2011; Jofre-Monseny, Minihane, & Rimbach, 2008).

In addition to the observation that accelerating A $\beta$  accumulation can increase the risk of developing AD and associated behavioral deficits, experiments with transgenic rodent models of A $\beta$  plaque accumulation in the brain have shown that reducing A $\beta$  levels in the brain can improve behavioral outcomes. These experiments include systemic treatments with monoclonal anti-A $\beta$  antibodies and dietary manipulations that prevent, or in some cases reverse, the neuropathology and behavioral deficits associated with AD (Bernardo et al., 2009; Demattos et al., 2012; Richard E. Hartman, Lee, et al., 2005; Richard E Hartman et al., 2006; Joseph, Cole, Head, & Ingram, 2009; E. B. Lee et al., 2006; Mark, 2010; Patten, Moller, Graham, Gil-Mohapel, & Christie, 2013; Pop et al., 2010; Steele, Stuchbury, & Münch, 2007; A. Wang, Das, Switzer, Golde, & Jankowsky, 2011; J. Wang et al., 2005). Reducing oxidative load in the brain is another pathway to improving cognitive function in A $\beta$  transgenic rodent models without reducing A $\beta$  levels (Kotilinek et al., 2008; Ongali et al., 2014; Tong, Lecrux, & Hamel, 2012). These findings suggest that A $\beta$  contributes to the process of oxidative stress overload that gradually impacts the function of brain structures that mediate learning and memory.

In summary, AD is associated with an abnormal buildup of A $\beta$  plaques in the brain, which ultimately induces even greater A $\beta$  accumulation in the brain. This “amyloid cascade” process creates a damaging cycle of neurodegenerative decline, including the

formation of NFTs, synaptic dysfunction and loss, excitotoxicity and apoptosis (A. Armstrong, 2014; Barage & Sonawane, 2015; Musiek & Holtzman, 2015; Pimplikar, 2009). Current pharmacological approaches for treating AD have focused on stabilizing glutamatergic activity by blocking NMDA channels (e.g., memantine) and inhibiting acetylcholinesterase (AChE), an enzyme that breaks down acetylcholine and has been shown to induce A $\beta$  aggregation (e.g., galantamine, tacrine, donepezil, and rivastigmine). NMDA antagonists can slightly slow the progression of AD symptoms and may reduce the susceptibility of neurons to excitotoxic degeneration. AChE inhibitors have been shown in animal experiments to slow AChE's promotion of A $\beta$  aggregation. Nevertheless, pharmacological treatments that target glutamate and acetylcholine have ultimately yielded disappointing results. Other experimental approaches that have yielded mixed results. Active and passive A $\beta$  immunotherapies in transgenic mouse models of AD have yielded promising results, even in the absence of significant reductions in A $\beta$  burden (Buttini et al., 2005; Richard E Hartman, Izumi, et al., 2005; Wilcock et al., 2004). Human immunotherapy treatment has been more problematic, due to significant toxicity and tolerability concerns (Gilman et al., 2005; Lemere, Maier, Jiang, Peng, & Seabrook, 2006; Mangialasche, Solomon, Winblad, Mecocci, & Kivipelto, 2010; Schenk, Hagen, & Seubert, 2004; Siemers et al., 2010; Winblad et al., 2012). Although these pharmacological failures have raised questions about the amyloid cascade hypothesis of AD, it has also been proposed that A $\beta$  may initiate a multi-faceted pathogenic cascade that causes AD, rather than acting as the sole causative factor (A. Armstrong, 2014; Imtiaz, Tolppanen, Kivipelto, & Soininen, 2014; Salomone & Caraci, 2012). These downstream processes include tau aggregation, extracellular senile plaque formations,



mitochondrial dysfunction, neuroinflammatory processes, blood brain barrier (BBB) permeability disruption, and gut microbiome disturbances (Biron, Dickstein, Gopaul, Jefferies, & Hendey, 2011; Devi & Anandatheerthavarada, 2010; Hedskog et al., 2013; Miao et al., 2016; Moreira et al., 2010; Musiek & Holtzman, 2016; Narasingapa et al., 2012; Rom et al., 2016; V. Singh et al., 2016; T. Yuan et al., 2016). Despite the lack of significant progress towards effective pharmacological interventions for AD, mounting epidemiological and experimental evidence indicates that diet and other sources of bioactive phytochemicals can significantly decrease the risk of developing AD neuropathology and symptoms by several potential mechanisms (Barnard et al., 2014; R E Hartman, 2009; Kang et al., 2014; Lau, Shukitt-Hale, & Joseph, 2005).

## **CHAPTER THREE**

### **PHYTOCHEMICALS AND ALZHEIMER'S DISEASE**

A growing body of literature demonstrates that several bioactive phytochemical compounds, including vitamins (e.g. tocopherols and folic acid) and other organic compounds (e.g. phenols, terpenes, and organosulfurs) can affect aspects of the AD disease process. Potential mechanisms for these effects include antioxidant / anti-inflammatory properties and modulation of A $\beta$  concentrations and toxicity. Indeed, several pharmacological interventions of interest in AD stem from traditional herbal medicines. For example, the AChE inhibitor galantamine is derived from daffodil plants, and the anti-inflammation drug aspirin is derived from salicylic acid, a polyphenol found in the bark of willow trees. Both phytochemicals have garnered interest in the treatment of AD. Additionally, the role of the gut microbiome has been of increasing interest in studying the activity and mechanisms of dietary phytochemical compounds.

Approximately 100 trillion diverse species of very metabolically active bacteria line the intestinal tract and have a strong influence (both pro- and anti-) on neuroinflammation, neuromodulation, and neurotransmission in the brain and periphery. The role of the potentially neurotoxic and proinflammatory microbial activations and their relationship to age-related amyloidogenesis and neurodegeneration are of increasing interest (Petra et al., 2015; Y Zhao, Dua, & Lukiw, 2015). In addition to the gut's role in the disease process of AD, its microbiome is also highly implicated in the bioavailability and bioactivation of dietary phytochemicals. It has been shown that 5-10% of dietary phytochemicals are absorbed initially. The remaining phytochemicals reach the colon, where they undergo extensive metabolizing by microbiota. Although the metabolic pathways and the

molecular targets are not well understood, the intestinal microbiome's breakdown of dietary polyphenols may enhance their beneficial properties (H. Chen & Sang, 2014; Chiou et al., 2014; Gasperotti et al., 2015; Marín, Miguélez, Villar, & Lombó, 2015; Parkar, Trower, & Stevenson, 2013). Recent studies of the pharmacokinetic activity of several microbiome-produced polyphenol metabolites found that many of them reached the brain in statistically significant concentrations (Gasperotti et al., 2015; D. Wang et al., 2015; T. Yuan et al., 2016). The following sections provide a survey of the epidemiological and experimental evidence for the effects of various plants, phytochemicals, and their metabolites on AD processes.

### **Epidemiological Evidence**

Several studies have demonstrated that regular consumption of a variety of fruits and vegetables can decrease the risk for developing AD and slow its progression. For example, a large Swedish study collected dietary questionnaires from young adults approximately 40 years before regular cognitive screenings began in older age. It was found that higher fruit and vegetable consumption in earlier life was associated with a decreased risk of dementia and AD (Hughes et al., 2010). Similarly, a study of Irish adults, aged 64-93 years, found that consuming more fruits and vegetables was associated with significantly better overall cognitive functioning (Power et al., 2015). However, another study reported that consumption of dietary tocopherols (isoforms of vitamin E), vitamin C, P-carotene, and tea were not correlated with the risk of developing AD (Dai, Borenstein, Wu, Jackson, & Larson, 2006).

Additionally, epidemiological evidence that isolated phytochemicals can affect

AD remains elusive. A study of older American adults to identify dementia incidence and AD diagnoses found that the use of vitamins C and E alone or in combination did not reduce AD or dementia incidence after a 5-year follow-up (Gray et al., 2008). Another study examining the effects of vitamin E supplementation in mild cognitive impairment (MCI) and AD found no evidence that it was beneficial (Farina, Isaac, Clark, Rusted, & Tabet, 2012). However, one study of older Chinese adults reported that lower  $\alpha$ -tocopherol levels were found in those diagnosed with MCI than in healthy controls (L. Yuan et al., 2016), and another recent study found that higher dietary intake of vitamins A, C, and E is associated with protection from AD (Berti et al., 2015). Nevertheless, the evidence suggests that acquiring vitamins through a varied diet of vitamin rich foods may provide more protection from AD than the use of vitamin supplementation. A recent study of elderly French adults examined the association between dietary vitamin B consumption and long-term incidence of dementia. Higher intake of dietary vitamin B reduced the risk of dementia with an approximately 50% lower risk for individuals consuming the highest amounts compared to the lowest consumers (Lefèvre-Arbogast et al., 2016).

Furthermore, growing epidemiological evidence suggests that dietary omega-3 fatty acids, most commonly found in flax, nuts, algae, and oil from fish that eat algae, may protect against developing AD (Burkholder-Cooley, Rajaram, Haddad, Fraser, & Jaceldo-Siegl, 2016; Perim Baldo et al., 2016; Robertson et al., 2016; Song et al., 2016; Tavakkoli-Kakhki et al., 2014). The so-called “Mediterranean” diet, which is characterized by regular consumption of foods with high fatty acid content from fish, nuts, and oils, has been of increasing interest, due to the growing body of evidence that it

is associated with several health benefits, including a reduced incidence of AD. Consumption of dietary fatty acids appears to explain a portion of the diet's neuroprotective characteristics (Trichopoulou et al., 2014), and several epidemiological studies have demonstrated that diets supplemented with olive oil and/or nuts are associated with improved cognitive function in older adults (Perim Baldo et al., 2016; Sjögren et al., 2010; Valls-Pedret et al., 2015).

Other sources of bioactive phytochemicals include colorful, flavorful, and aromatic spices. These spices often contain high concentrations of various phenols, terpenes, and organosulfurs. For example, a study of elderly adults showed that those whose diets included curry performed significantly better on neuropsychological tests of cognitive performance (Ng et al., 2006). This spice mix includes turmeric, a bright yellow root that contains a high concentration of the polyphenol curcumin. Light to moderate wine consumption has also been associated with a reduced risk for AD, although it remains unclear whether the effect is due to grape polyphenols (e.g., resveratrol) or ethanol (which itself is derived from plants) (Arntzen, Schirmer, Wilsgaard, & Mathiesen, 2010; Covas, Gambert, Fitó, & de la Torre, 2010; Orgogozo et al., 1997).

Phytochemicals can also be consumed by other methods other than diet. For example, smoking tobacco was previously thought to possibly offer protection from A $\beta$  deposition and the occurrence of AD. This was in large part due to postmortem examinations of the brains of AD that showed significantly lower levels of A $\beta$  in the entorhinal cortex of smokers (Court et al., 2005). However, recent epidemiological studies have identified smoking as a risk factor for the development of AD (Norton,

Matthews, Barnes, Yaffe, & Brayne, 2014). A large community study of adults in the US found that older individuals who currently smoke are more likely to develop AD than those who never smoked. Given that experimental evidence of nicotine administered in animal models of AD suggests that nicotine may be neuroprotective (see section on nicotine), it appears likely that the act of smoking tobacco, rather than consumption of nicotine itself, increases the risk for developing AD, despite evidence of decreased postmortem A $\beta$  in smoker's brains.

In summary, epidemiological evidence suggests that consuming a wide variety of fruits and vegetables that contain high concentrations of bioactive phytochemical compounds may work collectively and synergistically to lower the risk for developing AD. Relatively few experimental clinical trials have been published assessing the effects of plant/phytochemical consumption on AD in humans. Several experimental preclinical studies using transgenic animals and/or in vitro models have provided evidence that various aspects of AD neuropathology can be manipulated by plants and their phytochemicals. The following subsections outline recent experimental literature describing the varied potential benefits of bioactive phytochemicals on AD neuropathology.

## **Experimental Evidence**

### ***Polyphenols***

Many plants produce polyphenols (large assemblies of phenols, which are molecules that contain an aromatic ring bonded to a hydroxyl group). These include common phytochemicals like the phenolic acids, stilbenoids, and flavonoids.

## Phenolic Acids

Several phenolic acids have been shown to modulate neuropathological pathways related to AD. For example, rosmarinic acid (derived from rosemary) and nordihydroguaiaretic acid (derived from creosote) have been shown to prevent and reverse A $\beta$  aggregation in vitro (Farr et al., 2016; Lucarini et al., 2013; Rahman, Ansari, Rehman, Parvez, & Raisuddin, 2011). Additionally, coffee and tea are plants with relatively high concentrations of phenolic acids that possess antioxidant and anti-inflammatory properties, such as caffeic acid and various tannins (Brezová, Šlebodová, & Staško, 2009; Esquivel & Jiménez, 2012; Ludwig et al., 2012; Vignoli, Bassoli, & Benassi, 2011). Coffee and tea are also discussed in the section on psychoactive alkaloids (caffeine), and tea is discussed in more detail in the section on flavonoids (flavans).

Diets containing high amounts of the spice mixture curry have been associated with improved cognitive performance in elderly individuals (Braskie et al., 2011; Ng et al., 2006). Curcumin is a phenolic acid found in the curry spice turmeric, which is a bright yellow root related to ginger. It is structurally similar to thioflavine-S and Congo red, which are histological stains used to visualize amyloid fibrils in brain tissue. Interestingly, curcumin will also bind to amyloid fibrils in brain tissue sections and can be visualized under a fluorescent microscope to observe A $\beta$  plaques (Reinke & Gestwicki, 2007; Ringman, Frautschy, Cole, Masterman, & Cummings, 2005). In addition to its A $\beta$  binding properties in tissue sections, it has also been demonstrated to prevent and reverse A $\beta$  aggregation in vitro (Ono, Hasegawa, Naiki, & Yamada, 2004; Yang et al., 2005).

Experimentally, dietary curcumin has been reported to prevent oxidative stress,

synaptic damage, cortical microgliosis, and learning deficits in rats after intracerebroventricular infusion of A $\beta$  (Frautschy et al., 2001). It also decreased A $\beta$  plaques and oxidative stress in APP transgenic mice (G. P. Lim et al., 2001; Ono et al., 2004; Yang et al., 2005) and reduced heme-A $\beta$  peroxidase damage to muscarinic ACh receptors (Atamna et al., 2009). More recent studies with both rats and mice have examined whether curcumin attenuates inflammation and mitochondrial dysfunction in models of neurological insult. The results suggested that curcumin reduced post-insult lesion sizes and inflammatory biomarkers in the brain, and improved mitochondrial function and behavioral outcomes (Laird et al., 2010; Miao et al., 2016). Additionally, a transgenic mouse study demonstrated increased levels of DNA damage relative to control mice, and reported that dietary supplementation with curcumin significantly reduced the damage (Thomas et al., 2009). Its experimental effects are not limited to rodent models. A recent drosophila (fruit fly) experiment found that curcumin reduced oxidative stress and protected against age-related neurodegeneration (Seong et al., 2015), and a study of elderly humans after 12 weeks of curcumin supplementation demonstrated improved artery endothelial function by increased vascular nitric oxide bioavailability, reduced overall oxidative stress, and improved conduit artery endothelial function (Parker et al., 2016). Curcumin also inhibits the pro-inflammatory cytokine nuclear transcription factor- $\kappa$  $\beta$  (NF- $\kappa$  $\beta$ ) (B. B. Aggarwal & Shishodia, 2004) and modulates other cell-signaling pathways (B. B. Aggarwal & Shishodia, 2006). Curcumin also possesses potent antimicrobial properties which may possibly have direct or indirect effects on A $\beta$  aggregation or other neuropathological pathways to AD. An In vitro study of curcumin demonstrated that curcumin dose-dependently inhibits the formation of A $\beta$  fibrils and



destabilizes already formed A $\beta$  fibrils. However, the mechanism by which Curcumin inhibits A $\beta$  fibril formation and A $\beta$  fibril destabilization remains unclear and could be due to a synergistic effect of curcumin's anti-aging and anti-microbial properties (Kumaraswamy, Sethuraman, & Krishnan, 2013; Ono et al., 2004; Rai, Singh, Roy, & Panda, 2008).

The anti-amyloid and antioxidant activity of curcumin has generated great interest for the treatment of AD. However, the insolubility of curcumin in water has restricted its use. This restriction may be overcome by the synthesis of curcumin nanoparticles that maintain anti-oxidative properties, are non-cytotoxic, and can destroy amyloid aggregates, thus approaching the treatment of Alzheimer's disease from several angles.

Pomegranates have been consumed as food and used medicinally for millennia and contain high concentrations of punicalagins, which break down in water to smaller phenolic acids such as ellagic acid, ellagitannins, and gallic acid (Ambigaipalan, De Camargo, & Shahidi, 2016; Elfalleh et al., 2011; Heber, 2011; Johanningsmeier & Harris, 2011; Larrosa et al., 2010; Legua et al., 2012; Masci et al., 2016; Sreekumar, Sithul, Muraleedharan, Azeez, & Sreeharshan, 2014; Venkata, Prakash, & Prakash, 2011; R. Wang, Ding, Liu, Xiang, & Du, 2010). Several animal and human studies have shown that pomegranate juice and extracts demonstrate significant bioactive properties, including antioxidant and anti-inflammatory effects (Al-Kuraishy & Al-Gareeb, 2016; M. Aviram et al., 2000; Michael Aviram et al., 2004; BenSaad, Kim, Quah, Kim, & Shahimi, 2017; Bishayee et al., 2011, 2013; Cano-Lamadrid et al., 2016; de Nigris et al., 2005; Husari et al., 2016; Kaplan et al., 2001; Larrosa et al., 2010; Matthaiou et al., 2014; Mertens-Talcott, Jilma-Stohlawetz, Rios, Hingorani, & Derendorf, 2006; Rosenblat,

Volkova, Coleman, & Aviram, 2006; Rozenberg, Howell, & Aviram, 2006; Seeram et al., 2005). Pomegranate juice, extracts, and their bioactive constituents suppress inflammatory cell signaling, reduce expression of oxidation-sensitive genes and pro-inflammatory cytokines in response to cellular stress, reduce blood biomarkers of inflammation and oxidative stress, and modulate endothelial nitric oxide synthase expression (Adams et al., 2006; L. G. Chen, Liu, Hsieh, Liao, & Wung, 2008; Ghavipour et al., 2016).

Animal experiments in which rodents have been given pomegranate extracts or had pomegranate juiced added to their drinking water have demonstrated the neuroprotective effects of the pomegranate's bioactive phytochemicals. The amount of juice consumed was similar, on a mg/kg basis, to a human dose of 1 to 2 cups of pure pomegranate juice. Initially, pomegranate's neuroprotective properties were demonstrated when the offspring of pomegranate-supplemented pregnant mice were protected from neonatal hypoxic-ischemic brain injury (Loren, Seeram, Schulman, & Holtzman, 2005). These results prompted experiments with APP transgenic mice, in which 6 months of consumption reduced A $\beta$  plaques in the hippocampus and improved maze performance (Richard E Hartman et al., 2006). Later experiments suggested that the reduction in A $\beta$  levels likely resulted from modulations in APP enzymatic processing, presumably leading to less production of A $\beta$  and increased production of soluble APP- $\alpha$  (sAPP $\alpha$ , an endogenous neuroprotective peptide produced by  $\alpha$ -secretase processing of APP). Another study showed that ellagic acid derived from pomegranate rinds inhibited  $\beta$ -secretase activity in vitro (Kwak et al., 2005). More recent mouse studies examining the consumption of pomegranate peel extract showed increased brain-derived

neurotrophic factor expression and reduced A $\beta$  plaque density, AChE activity, lipid peroxidation, and pro-inflammatory cytokine expression (Morzelle et al., 2016). These results were similar to other APP transgenic mouse studies in which pomegranate juice supplementation improved learning and memory and reduced A $\beta$  plaque deposition (Rojanathammanee, Puig, & Combs, 2013) and showed significant improvements in memory, learning, and locomotor function while reducing anxiety (Subash et al., 2015). Another recent mouse study showed that pomegranate supplementation protected against proton irradiation-induced anxiety (Dulcich & Hartman, 2013). Finally, pomegranate supplementation has been experimentally demonstrated to improve cognitive performance in humans after heart surgery (Ropacki, Patel, & Hartman, 2013) and with mild cognitive impairment (Bookheimer et al., 2013).

Overall, this growing body of experimental evidence shows that the phenolic acids found in pomegranates may directly or indirectly provide significant behavioral and neuropathological protection against age-related disorders, including AD, by multiple mechanisms that work together to prevent establishment and progression of A $\beta$  deposition and neurodegeneration. Interestingly, *in vitro* experiments show that isolated phytochemical components may not provide as much benefit as the whole juice, suggesting that the wide variety of phenolic acid isoforms present in the whole fruit may provide synergistic benefits (Seeram et al., 2005). One study even showed that the conjugated sucroses, fructoses, and glucoses found in pomegranates also have antioxidant properties (Rozenberg et al., 2006). Some recent studies have shown that bacteria in the gut can metabolize the large punicalagins into smaller anti-inflammatory molecules like urolithin-A that may have higher bioavailability (Espín, Larrosa, García-Conesa, &

Tomás-Barberán, 2013; Larrosa et al., 2010; Seeram et al., 2006; T. Yuan et al., 2016). These findings suggest that further comparative studies of isolated phytochemical metabolites may lead to increased understanding of their true mechanisms of action and the mediating role of microbiome metabolism. Finally, numerous other studies have shown pomegranate and its bioactive constituents to be anti-carcinogenic, antibacterial, anti-apoptotic, and protective for the cardiovascular system (Ahmed, El Morsy, & Ahmed, 2014; Al-Kuraishy & Al-Gareeb, 2016; M. Aviram et al., 2000; Michael Aviram et al., 2004; Braga et al., 2005; K. Cao et al., 2015; Chavez-Valdez, Martin, & Northington, 2012; de Nigris et al., 2005; Howell & Souza, 2013; Kaplan et al., 2001; Riaz & Khan, 2016; Rosenblat et al., 2006; Rozenberg et al., 2006; Seeram et al., 2005; Shafik & El Batsh, 2016; Syed, Chamcheu, Adhami, & Mukhtar, 2013; L. Wang, Li, Lin, Garcia, & Mulholland, 2013), suggesting that consumption of pomegranates and their juice may protect against AD neuropathology and a several other age-related disease processes.

## **Stilbenoids**

Resveratrol is a stilbenoid polyphenol found in grapes and nuts that has been shown to induce A $\beta$  clearance and decrease A $\beta$  levels in vivo in part via intracellular proteasome-facilitated degradation of A $\beta$  (Karuppagounder et al., 2009). Additionally, resveratrol modulates several A $\beta$ -related cell-signaling pathways (Capiralla et al., 2012; Köbe et al., 2017; Porquet et al., 2014), which may explain the epidemiological evidence for a decreased risk of developing AD among elderly individuals who drink small to moderate amounts of wine. Experimental models of traumatic brain injury have

demonstrated that treatment with resveratrol immediately after traumatic brain injury reduces oxidative stress and even reduces lesion volume (Ates et al., 2007). These findings are supported by resveratrol's neuroprotective effects in adult and neonatal rodent models of ischemic stroke (Wan et al., 2016; West, Atzeva, & Holtzman, 2007).

## **Flavonoids**

The flavonoid class of polyphenols includes the flavans and pigment compounds like the anthocyanidins and anthoxanthins.

### ***Flavans***

The flavan class of polyphenols includes flavanols such as the catechins, which are found in high concentration in tea leaves. Catechins and phenolic acids (e.g., tannins) make up about 25% of the tea leaf, which also contains psychoactive compounds (e.g., caffeine; see psychoactive alkaloid section). Tea has been used medicinally for centuries, likely because of these bioactive phytochemicals. Tea consumption is still very common globally, but epidemiological evidence correlating tea consumption with the risk of developing AD has been mixed. However, multiple lines of experimental evidence suggest that tea may protect against oxidative stress (I. C. Burckhardt et al., 2008; Yan Xu et al., 2010) and that some of tea's compounds may protect various AD-related pathways. For example, a transgenic mouse study demonstrated that an extract of black tea polyphenols significantly reduced memory impairment, oxidative damage, A $\beta$  burden, and apoptosis (Mathiyazahan, Justin Thenmozhi, & Manivasagam, 2015).

Isolated catechins found in tea have been studied more in depth. Epigallocatechin-

3-gallate (EGCG) is a well-characterized catechin found in tea that has been shown to decrease behavioral impairments, reduce A $\beta$  production, and decrease  $\gamma$ -secretase activity in transgenic mice (H. J. Lim et al., 2013). In another transgenic mouse study, EGCG treatment restored respiratory rates and membrane potential, reduced ROS production, and increased ATP levels by 50 to 85% in mitochondria isolated from the hippocampus, cortex, and striatum (Dragicevic et al., 2011). In addition to the neuroprotective effects of EGCG, a recent study of aging rats examined a tea extract rich in other catechins, but poor in EGCG. The data demonstrated improved learning and memory and reduced oxidative stress, suggesting that tea consumption is associated with multiple catechins having a synergistic neuroprotective effect above and beyond isolated tea catechins (Rodrigues et al., 2013). Overall, the phenolic acids and flavonoids found in tea offer multi-faceted neuroprotection from AD via multiple mechanisms.

### ***Anthocyanidins***

Anthocyanidins are water soluble pigments with potent antioxidant and anti-inflammatory properties found in high concentrations in fruits such as the blueberry (Giacalone et al., 2015; Malin et al., 2011; Nica Sousa, Teixeira, & Soares, 2014). Rodent models of AD have shown that a blueberry enriched diet significantly reduced learning and memory impairments mediated by excitotoxicity and oxidative stress, decreased neuronal loss, and inhibited AChE activity (Duffy et al., 2008; Papandreou et al., 2009; Williams et al., 2008). In a recent study, a single drink containing blueberry flavonoids was given to 8-10-year-old children 2 hours before a brief memory assay and was associated with overall improved delayed recall, but increased susceptibility to proactive

interference (Whyte & Williams, 2015).

### ***Anthoxanthins***

Anthoxanthins are another class of flavonoid pigment that includes compounds such as the flavones and flavonols. Luteolin is a flavone found in the leaves and rinds of many plants, including celery, broccoli and citrus fruits that acts on multiple pathways associated with the development of AD. Reported effects in transgenic mice include decreases in both A $\beta$  deposition and tau phosphorylation (which can ultimately lead to NFTs in humans). Other studies using rat models of AD suggest that luteolin protects against A $\beta$ -induced cognitive impairment by regulating the cholinergic system, inhibiting oxidative stress, and prevented hippocampal cell death in a chemically-induced model of AD (H. Wang, Wang, Cheng, & Che, 2016; T.-X. Yu, Zhang, Guan, Wang, & Zhen, 2015). Additionally, luteolin has been shown to reduce neuroinflammation and A $\beta$  deposition following experimental traumatic brain injury in transgenic mice (Sawmiller et al., 2014). Finally, luteolin demonstrates significant antioxidant action, regulates phosphorylation (R. Liu et al., 2009; Zhou, Chen, Xiong, Li, & Qu, 2012), inhibits mitochondrial dysfunction induced by myocardial insult, protects BBB permeability in AD rodent models, reduces apoptosis in Parkinson's disease rodent models, alleviates obesity-induced cognitive impairment in a rodent model of type-2 diabetes mellitus, and has anti-carcinogenic properties in an animal model of lung cancer (Guo, Li, Yu, & Chan, 2013; Y. Liu et al., 2014; Pratheeshkumar et al., 2014; D. Yu, Li, Tian, Liu, & Shang, 2015; J.-X. Zhang et al., 2017). Thus, like other polyphenols, luteolin seems to be readily available in the diet and may provide protection from age-related neuropathology from

several different angles.

Flavonols such as fisetin, quercetin, myricetin, and kaempferol have also demonstrated bioactive properties of interest to aging and AD research. For example, fisetin, which is found in strawberries and other fruits and vegetables, enhanced cognitive performance and reduced inflammation in a rodent model of induced neurodegeneration (Prakash, Gopinath, & Sudhandiran, 2013). Fisetin's effects appear to be in part attributable to increases in cAMP response element binding (CREB), which plays an important role in learning and memory mechanisms and has been shown to reduce A $\beta$  plaque formation. Additionally, isolated preparations of quercetin and myricetin have been shown to reduce A $\beta$ -related damage to muscarinic acetylcholine receptors (Hu, Ding, Zhou, & Xu, 2015; Ramezani, Darbandi, Khodagholi, & Hashemi, 2016).

Kaempferol and quercetin are flavonols found in especially high concentrations in the leaves of the ginkgo biloba tree, which have been used medicinally for centuries due to their purported cognitive enhancing properties. In addition to kaempferol and quercetin, ginkgo biloba also contains terpenes such as ginkgolides and bilobalides (see section on ginkgolides and bilobalides). It has most often been studied experimentally using an extract known as EGb761, which has been standardized to 24% polyphenol / 6% terpene content, allowing relatively easy comparisons between experimental studies. Multiple clinical trials have shown that daily treatment with EGb761 for a period of 12-24 weeks can provide mild cognitive improvements in elderly and demented patients (Herrschaft et al., 2012; Ihl, Tribanek, & Bachinskaya, 2012; Napryeyenko, Sonnik, & Tartakovsky, 2009). A study of several thousand non-demented elderly adults compared the effects of EGb761 to piracetam on cognitive functioning over a 20-year period.



Results indicated less cognitive decline in subjects taking EGb761 than those who reported regular use of piracetam (Amieva, Meillon, Helmer, Barberger-Gateau, & Dartigues, 2013). Another recent randomized, placebo-controlled trial of several hundred outpatients was conducted to demonstrate the efficacy and safety of EGb761 treatment for 24 weeks in patients with AD or vascular dementia. EGb76 treatment produced significant and clinically relevant improvements in cognition, psychopathology, functional measures, and quality of life for patients and caregivers. Importantly, no significant toxicities were observed (Herrschaft et al., 2012). However, in another randomized, placebo-controlled trial, adults aged 70 years or older who presented with initial memory complaints were administered EGb761 daily and followed for conversion to probable AD diagnoses. In these subjects, EGb761 did not reduce the risk of progression to AD compared with controls given a placebo (Schneider, 2012; Vellas et al., 2012). However, like many failed clinical trials of AD treatments, it is possible that the intervention was simply started too late, since neuropathology generally precedes the clinical symptoms by several years.

Several animal and in vitro studies have demonstrated that EGb761 can modulate multiple pathways related to both brain function and neuroprotection. For example, EGb761 has been shown to increase dopaminergic transmission in the rat PFC (Yoshitake, Yoshitake, & Kehr, 2010), increase production of brain derived neurotrophic factor in aged rats (Belviranlı & Okudan, 2014), improve mitochondrial respiration in vitro (Tendi et al., 2002), and attenuate lipid peroxidation and superoxide free radical production in a mouse model of Parkinson's disease (Rojas et al., 2008). In addition to its potent antioxidant properties, EGb761 also acts as an AChE inhibitor, so several studies

have compared its clinical effects to pharmaceutical AChE inhibitors. One study found that combined treatment with EGb761 and donepezil was superior to either compound alone and produced fewer side effects than mono-therapy with donepezil (Yancheva et al., 2009). Although AChE inhibitors have demonstrated mostly disappointing results in the treatment of AD, research into the efficacy of the extract persists because of its minimal side effect profile and other potential mechanisms of action (Weinmann, Roll, Schwarzbach, Vauth, & Willich, 2010). EGb761 has also been shown to reduce A $\beta$  deposition, enhance CREB phosphorylation, and promote cell proliferation in the hippocampi of young and aged transgenic mice (Tchantchou, Xu, Wu, Christen, & Luo, 2007). In another study, transgenic mice that were given EGb761 for 20 weeks via dietary supplementation demonstrated significantly improved cognitive function, attenuated loss of synaptic proteins, inhibition of caspase-1, and less inflammation via microglia-induced secretion of TNF- $\alpha$  and IL-1 $\beta$  (X. Liu et al., 2015). This pattern of results suggests that the phytochemicals in EGb761 act on AD pathology via multiple synergistic mechanisms, including antioxidant, anti-inflammatory, and anti-AChE pathways (Eckert, 2012; Müller, Heiser, & Leuner, 2012).

Concerns about the bioavailability of phytochemicals like EGb761, such as their ability to cross the BBB, have led to recent investigations on the pharmacokinetics of these compounds. A rat study found that repeated oral administration of standard EGb761 doses for 1 week led to as much as a 10x increase in the plasma concentration of its flavonols components, which were also found in the hippocampus, frontal cortex, striatum, and cerebellum (Rangel-Ordóñez, Nöldner, Schubert-Zsilavecz, & Wurglics, 2010). Thus, although ginkgo biloba is generally not considered a dietary plant, the

available evidence suggests that readily available concentrated extracts may provide beneficial anti-aging and anti-AD effects via multiple pathways with a minimal side effect profile.

### ***Terpenes***

Terpenes are hydrocarbon compounds produced by plants (and some insects) that often have strong odors and an oily consistency. Terpenes of interest to aging and AD research include the ginkgolides and bilobalides (found in ginkgo biloba), huperzine A (found in Chinese club moss), and the phytocannabinoids (found in cannabis).

#### **Ginkgolides and Bilobalides**

As mentioned above, ginkgo biloba is often studied using EGb761, an extract that has been standardized to contain 24% polyphenols and 6% terpenes (the ginkgolides and bilobalides). Studies using EGb761 are discussed in more detail in the previous section, and it should be noted that its polyphenols and terpenes seem to act together in a synergistic fashion to provide its neuroprotective effects (Eckert, 2012; Müller et al., 2012). However, at least one study suggests that ginkgolide J, one of its terpenoid components, provided similar protection from the detrimental effects of A $\beta$  on long term potentiation as the whole extract (Vitolo et al., 2009).

#### **Huperzine A**

Huperzine A is a terpene alkaloid with AChE inhibiting properties found in the toothed clubmoss plant. It has been shown to promote neurogenesis in the rodent dentate

gyrus (T. Ma et al., 2013) and protect mitochondria against A $\beta$  deposition by preserving membrane integrity and improving energy metabolism (Gao, Zheng, Yang, Tang, & Zhang, 2009). Both huperzine A and Huperine X, which is synthesized by combining components of huperzine A with a synthetic AChE inhibitor, improved learning and memory in a transgenic mouse model of AD (X. Ma & Gang, 2008; Ratia et al., 2013). However, recent clinical trials have yielded mixed results, and the low availability of toothed clubmoss, along with the relatively poor performance of pharmaceutical acetylcholinesterase inhibitors, has slowed progress (Rafii et al., 2011).

## **Cannabinoids**

Cannabis is a plant with long history of both medicinal and recreational use. Cannabis contains a wide variety of terpenes, collectively known as phytocannabinoids, that bind with CB1 and CB2 cannabinoid receptors. CB1 receptors are expressed mainly in the cerebral cortex and are thought to be responsible for cannabis' well-documented psychoactive effects. CB2 receptors are expressed mainly in the periphery and are thought to play a role in a variety of inflammatory processes. These compounds, including tetrahydrocannabinol (THC), cannabidiol (CBD), and cannabinol (CBN), have structural similarity to endogenous cannabinoid neurotransmitters such as anandamide and 2-AG, and are antioxidant, anti-inflammatory, and neuroprotective against excitotoxicity and acute brain damage (Haghani, Shabani, Javan, Motamedi, & Janahmadi, 2012; Harvey, Ohlsson, Mååg, Musgrave, & Smid, 2012). Additionally, phytocannabinoids have been demonstrated to enhance mitochondrial functioning (C. Cao et al., 2014) and stimulate neurogenesis within the embryonic and adult

hippocampus (Jiang et al., 2005; Wolf et al., 2010).

Aging is associated with dysregulation of cannabinoid receptor expression (Bisogno & Di Marzo, 2010), and stimulation of cannabinoid receptors with synthetic cannabinoids has been shown to attenuate these effects (Marchalant et al., 2009; Marchalant, Cerbai, Brothers, & Wenk, 2008). A recent study demonstrated that THC restored cognitive performance in older mice, but, interestingly, the opposite effect was observed in younger mice (Bilkei-Gorzo et al., 2017). Several studies have suggested multiple mechanisms by which cannabinoids, including the phytocannabinoids found in cannabis, can also affect AD process. There is currently no conclusive epidemiological evidence on long-term cannabis users and a reduced incidence of AD, but multiple lines of experimental evidence suggest a possible protective effect. Although the relationship between cannabinoid receptors and AD pathogenesis remains unclear, cannabinoid receptor expression and the activity levels of enzymes that control endogenous cannabinoid concentrations change with the development of AD (Marchalant et al., 2009). Postmortem studies of AD and Down syndrome brains reveal consistently elevated levels of CB2 expression, whereas CB1 receptors are often reduced (J. H. Lee et al., 2010; Solas, Francis, Franco, & Ramirez, 2013) (Benito et al., 2003; Núñez et al., 2008; Tolón et al., 2009). These and other observations suggest that endogenous cannabinoids such as 2-AG mediate inflammatory and neuroprotective processes (X. Chen, Zhang, & Chen, 2011; Piro et al., 2012).

A study of transgenic mice that also lacked CB1 receptors reported that despite a decrease in A $\beta$  plaque load, significant learning and memory deficits persisted, suggesting that CB1 receptor deficiency can worsen AD-related cognitive deficits

independent of A $\beta$  plaque load (Stumm et al., 2013). Another study showed that the rate of A $\beta$  clearance across the BBB was doubled by stimulation of the endogenous cannabinoid 2-arachidonoylglycerol (2AG) via inhibition of endogenous cannabinoid-degrading enzymes (Bachmeier, Beaulieu-Abdelahad, Mullan, & Paris, 2013; Bisogno & Di Marzo, 2010). Furthermore, another study demonstrated that treatment with a synthetic CB2 agonist reduced A $\beta$ -induced memory loss (Wu et al., 2013), and in vitro data shows that THC inhibits A $\beta$  aggregation via indirect interaction with A $\beta$  peptides (Eubanks et al., 2006). Finally, studies of synthetic cannabinoids have shown them to ameliorate cognitive impairment and neurodegeneration in multiple models of A $\beta$ -induced neurotoxicity and neuroinflammation independent of antioxidant and/or psychoactive properties (R. Chen et al., 2012; Martín-Moreno et al., 2012; Martín-Moreno et al., 2011). Thus, cannabinoids, including those found in cannabis, seem to act on age-related and AD-specific neuropathological processes through multiple pathways, suggesting a potential role for exogenous (e.g., phyto- or synthetic) cannabinoids in the prevention and/or treatment of AD.

### *Organosulphurs*

Garlic contains many aromatic sulfur-containing phytochemicals, including s-allyl cysteine (SAC) and di-allyl disulfide, collectively known as organosulfurs. Adding an aged garlic extract, SAC, or di-allyl-disulfide to the diets of transgenic mice has been shown to ameliorate cognitive deficits, reduce A $\beta$  plaque formation, reduce abnormal tau build-up, and reduce oxidative damage (Asdaq, 2015; Chauhan, 2006; Colin-Gonzalez, Ali, Tunez, & Santamaria, 2015; Javed et al., 2011; Qu, Mossine, Cui, Sun, & Gu, 2016).

SAC has been shown to inhibit and reverse A $\beta$  aggregation in vitro and in transgenic mice by binding directly to the A $\beta$  peptide (Ray, Chauhan, & Lahiri, 2011). Another in vitro study examining the neuroprotective potential of SAC found reduced apoptosis that was not attributable to antioxidant activity, but rather to suppression of calpain proteins (Imai et al., 2014, 2016). The isolated components of SAC also appear to have AD-related neuroprotective properties, and may produce a synergistic effect in combination with di-allyl-disulfide. Together, these findings suggest that garlic and its organosulfur compounds may act on several pathways to reduce A $\beta$  plaque formation and other AD neuropathology.

### *Fatty Acids*

Omega-3 fatty acids, such as  $\alpha$ -linolenic acid, docosahexaenoic acid (DHA) and eicosapentaenoic acid (EPA), are found mainly in flax, nuts, algae, and certain fish. DHA and EPA make up about 15% of the human brain's total fatty acids and 30-40% of its gray matter. Consuming omega-3 fatty acids can reduce inflammation, improve learning and memory, increase gray matter volume, and alter gut microbiota composition. DHA has been shown to protect against A $\beta$ -induced neurotoxicity in transgenic mice and has demonstrated anti-inflammatory and anticancer properties (Calon et al., 2004; Lebbadi et al., 2011; Yuhai Zhao et al., 2011). In vitro studies have shown that DHA and EPA can reduce A $\beta$  aggregation, increase production of neurotrophic substances, and decrease production of pro-inflammatory cytokines (Hjorth et al., 2013). Additionally, omega-3 derivatives can promote  $\alpha$ -secretase processing of APP, which prevents the production of A $\beta$  and leads instead to the production of the neuroprotective peptide sAPP $\alpha$  (Yuhai Zhao

et al., 2011). A recent study of AD patients found that 24 weeks of omega-3 supplementation produced increased levels of EPA and DHA in plasma and cerebrospinal fluid (CSF) that were inversely correlated with CSF levels of phosphorylated tau (Freund Levi et al., 2014). However, another recent study demonstrated that A $\beta$  pathology may limit the ability of DHA to readily cross the BBB, which may explain why several clinical trials have yielded inconclusive or negative results, despite the high bioavailability of DHA (M. Burckhardt et al., 2016; Yassine et al., 2016).

### *Phytovitamins*

Epidemiological evidence mentioned in Chapter 3 suggests a protective effect of dietary vitamins against the risk of developing AD, and experimental evidence with humans and rodents tends to support this idea. Tocopherols (isoforms of vitamin E) and folic acid (an isoform of vitamin B9) are found in several commonly consumed plants. A recent clinical trial of vitamin E supplementation in AD patients taking AChE inhibitors reported a 19% per year delay in clinical progression (Dysken et al., 2014), but recent clinical trials of vitamin E in isolation have yielded less promising results, suggesting that vitamin E may be better suited as a complementary therapy for AD (Farina et al., 2012). However, in a study of aged rats, vitamin E-supplementation improved age-related cognitive deficits (Takatsu, Owada, Abe, Nakano, & Urano, 2009), and several transgenic mouse studies have demonstrated beneficial AD-related effects of supplementing with vitamin E. For example, dietary administration of vitamin E to transgenic mice reduced A $\beta$  deposition (Hashimoto et al., 2005) along with its associated oxidative stress and neuritic dystrophy (Zimmermann, Colciaghi, Cattabeni, & Di Luca,



2002), and it ameliorated behavioral impairments, oxidative stress, and injury-accelerated A $\beta$  formation resulting from repetitive traumatic brain injury (Conte et al., 2004).

Therefore, the data suggest that dietary tocopherols may protect the brain from A $\beta$  deposition and its associated functional decline.

Other studies have focused on B vitamins, because dietary deficiencies have been associated with cognitive decline and an increase in AD-related neuropathology. For example, a study of elderly individuals with a vitamin B deficiency found that reversing the deficiency with folic acid (an isoform of vitamin B9 found in many fruits and vegetables) improved cognitive function after 14 weeks (Cheng et al., 2016). A transgenic mouse study looked at the effects of dietary folic acid deficiency on neuropathology in transgenic mice and reported significant neurodegeneration within the hippocampus, although A $\beta$  levels were not affected (Kruman II et al., 2002). An in vitro study of folic acid deprivation demonstrated increased expression of the genes involved in encoding the  $\gamma$ - and  $\beta$ -secretases along with increased levels of A $\beta$  (Fuso, Seminara, Cavallaro, D'Anselmi, & Scarpa, 2005). In a study of high dose B vitamin supplements given to healthy adult participants over 4-weeks, increased task-related functional brain activity (Barbey, 2016). However, a similar high dose combination of vitamins B6 and B12 was ineffective at slowing cognitive decline in individuals with mild to moderate AD, suggesting that vitamin B may be more effective as a preventive measure for AD than as an acute intervention for AD related cognitive decline (Aisen et al., 2008). Other trials of folic acid supplementation in humans have shown that its long-term consumption is associated with decreased plasma levels of A $\beta$  and increased grey matter volume in the brain (Erickson et al., 2008; Flicker et al., 2008). These studies, along with data showing

the neuroprotective effects of folic acid on the developing nervous system and the anti-oxidant properties of dietary tocopherols, suggest that consuming phytochemicals may offer neuroprotection from oxidative stress that contributes to increased A $\beta$  deposition and AD progression.

### ***Psychoactive Alkaloids***

#### **Caffeine**

Although tea and coffee contain high levels of beneficial polyphenol compounds, the psychoactive alkaloid caffeine explains their global popularity. Caffeine functions as an insecticide in plants and as a psychostimulant in animals. Because its stimulant effects (resulting from its competitive inhibition of adenosine receptors in the brain) are not associated with the euphoria and addictive properties characterized by other psychostimulants (e.g., cocaine and amphetamines), caffeine has been used centuries throughout the globe as a general cognitive enhancer. Recent studies with animal models of AD have shown that caffeine consumption is associated with protection against oxidative stress, improved mitochondrial functioning and BBB permeability, increased expression of brain derived neurotrophic factor, and reduced A $\beta$  deposition and associated cognitive deficits (C. Cao et al., 2009; Xuesong Chen et al., 2008; Dragicevic et al., 2012; Laurent et al., 2014; Prasanthi et al., 2010). One study compared pure caffeine to “crude” caffeine, which is derived from coffee during the decaffeination process and likely contains other compounds (e.g., phenolic acids). Both supplements had beneficial effects in a transgenic mouse model of AD, including neuroprotection from

A $\beta$ -induced neuronal death via suppressed caspase-3 activity. However, crude caffeine was more effective in reducing learning and memory deficits, and only crude caffeine reduced hippocampal A $\beta$  deposition, suggesting that “phyto”-caffeine may offer protection from AD-related processes above and beyond that produced by pure caffeine (Chu et al., 2012). Interestingly, “caffeinol” (a combination of caffeine and ethanol) has been shown to demonstrate potent synergistic neuroprotection in rodent models of stroke (Bednarski, Gasińska, Straszewski, Godek, & Tutka, 2015; Martin-Schild et al., 2009; X. Zhao et al., 2010). Caffeine’s mild stimulant effects may improve cognition, and it appears to offer multiple synergistic pathways of neuroprotection from AD pathology, including inhibition of A $\beta$  aggregation and protection from neurologic insult.

## **Nicotine**

Nicotine is another alkaloid that protects the tobacco plant from insect predators and produces psychostimulant effects in animals, primarily due to its agonist action at nicotinic acetylcholine receptors. Like caffeine, nicotine has a long history of human use at least partially due to its stimulant and cognitive enhancement properties. Although some previous studies have demonstrated in both humans and animals that nicotine may have potential neuroprotective effects on AD pathology, further research has demonstrated that smokers are at a significantly higher risk of developing AD via multiple pathways (N. T. Aggarwal et al., 2006; Durazzo, Mattsson, & Weiner, 2014; Huang, Dong, Zhang, Wu, & Liu, 2009). Chronic nicotine administration in transgenic mouse models of AD has been shown to increase levels of brain-derived neurotrophic factor and prevent long-term memory impairment induced by A $\beta$  deposition (Alkadhi,

Alzoubi, Srivareerat, & Tran, 2011; Srivareerat, Tran, Salim, Aleisa, & Alkadhi, 2011). Possible mechanisms include activity at the nicotinic acetylcholine receptors, which results in decreased oxidative damage, A $\beta$  deposition, and apoptosis. In addition to the potential cognitive enhancement, antioxidant, and anti-A $\beta$  actions attributed to nicotine, its psychoactive metabolite, nornicotine, has been shown to inhibit A $\beta$  aggregation by forming permanent covalent bonds with A $\beta$  peptides (Kumar et al., 2011). These findings suggest that pharmaceutical treatment with nicotine may provide positive benefits in the treatment and/or prevention of AD.

## CHAPTER FOUR

### SUMMARY

The development of AD-related neuropathology and its associated behavioral deficits is related to the gradual accumulation of A $\beta$  plaques and NFTs in the cortex over the lifespan. This causes increased oxidative stress and inflammation in the brain, leading to further A $\beta$  deposition, neuronal degradation, and other downstream effects. A variety of acute or low-grade chronic neurological insults can accelerate this process, and current pharmacological treatment options appear to be only minimally beneficial.

In the absence of effective pharmaceutical therapies for AD, focusing on lifestyle factors associated with reducing risk of developing AD appears to be the most effective preventive measure. The difficulty of demonstrating consistent beneficial effects of phytochemicals in humans is not surprising, given the similar failures of pharmacological interventions. Nevertheless, several lines of research demonstrate that long-term consumption of various phytochemicals may attenuate multiple neuropathological processes associated with the development of AD. The results of experimental data from animal studies and clinical trials, along with a growing body of epidemiological studies, lend credibility to the idea that bioactive phytochemicals can have beneficial effects via multiple mechanisms related to general brain aging, including regulation of the intestinal/gut microbiome and BBB permeability, modulation of neurotransmitter degradation and binding, anti-inflammatory and antioxidant effects, reduced susceptibility to excitotoxicity and apoptosis, stimulation of neurogenesis and long-term potentiation, and maintenance of proper mitochondrial function and other cellular processes related to learning and memory (Akaishi et al., 2008; Maher, 2009).

Additionally, bioactive phytochemicals have demonstrated beneficial effects on multiple AD-specific processes, including inhibition of A $\beta$  production by modulating enzymatic processes and reducing A $\beta$  deposition in the brain by decreasing aggregation and increasing clearance.

Given that AD is progressive, insidious, and ultimately fatal disease effecting a significant portion of older individuals, delaying the onset of AD by even a slight margin would significantly impact its incidence. Mounting epidemiological and experimental evidence suggests that a lifetime of consuming an abundance of neuroprotective phytochemicals may provide significant protection from environmental and age-related insults that accelerate the progression of AD neuropathology (Bayram et al., 2012; Steele et al., 2007; Subash et al., 2015). Furthermore, diets containing a wide variety of bioactive phytochemicals from multiple plant sources may provide synergistic benefits over supplementing with isolated compounds (Berti et al., 2015; Uysal et al., 2013). Finally, chronic adherence to diets rich in diverse sources of bioactive dietary polyphenols may protect against neurodegenerative disorders such as AD, but may also confer additional health and age-related benefits.

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