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LOMA LINDA UNIVERSITY

Graduate School

COMPUTER ASSISTED ELECTRON SPIN RESONANCE

by

Philip M.W. Law

A Dissertation in Partial Pulifilment of the Requirements for the Degree Doctor of Philosophy in the Field of Biomathematics

June 1976

Each person whose signature appears below certifies that he has read this dissertation and that in his opinion it is adequate, in scope and quality, as a dissertation for the degree Doctor of Philosophy.

1

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Appreciation is expressed for grants-in-aid, laboratory-space, equipments, and research materials from the department of Pharmacology and Physiology. Gradtitude is extended to the Scientific Computation Pacility - a Biotechnology Research Resource supported in part by NIH Grant RE00276.

I like to pay special tribute to my wife, Mary, for her unending support and encouragement and to my parents for their total support.

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iii

Computer programs described in this dissertation have been documented at the Scientific Computation Facility of Loma Linda University

iv

44

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TABLE OF CONTENTS

LIST OF	FIGURES	i i i	vii
CHAPTER	Ι.	INTRODUCTION	1
CHAPTER	11.	THEORY AND PRACTICE_OF ESR OF TRAPPED *	. 4
CHAPTER	ILI.	COMPUTER-ASSISTED ANALYSIS OF ESR SPECTRA	14
Α.	Intro	duction	14
в.	Exper	iments	16
c.	Compu	ter-Assisted Spectral Analysis	20
D.	Exper	imental Data and Spectral Analysis	21
	1.	Observed Spectra	21
	2.	Spectral Analysis	21
CHAPTER	IV.	COMPUTER PROGRAMS FOR SPECTRAL ANALYSIS	38
Α.	The M	ain Program For Spectral Analysis	38
в.	Major	Subroutine	40
	1.	Subroutine ESRDIS	40
	2.	Subroutine ESRSTR	40
	3.	Subroutine ESRSLP	40
	4.	Subroutine ESRSUM	41
	5.	Subroutine ESRINV	41
	6.	Subroutine XSCALE	41
	7.	Subroutine ESRSHF	45
	8.	Subroutine YSCALE	45
	9.	Subroutine ESRSMO	45

			rage
	10.	Subroutine ESRCPY	45
	11.	Subroutine SCOPX	45
	12.	Subroutine CNTLTI	45
• c.	Link	age Program	47
	1.	ESRSCN	49
	2.	ESRTRA	· 49
	з.	ESRDIX	49
	4.	PLESR	49
		a. The Main Program	49
		b. Subroutine ESR	49
		c. Subroutine ESRSPC	52
CHAPTER	v.	SUMMARY AND CONCLUSIONS	55
Α.	Free	Radicals in Gamma-irradiated Hemimellitic A	cid 55
в.	Comp	uter-Assisted ESR Spectral Analysis	61

REFERENCES

r	1			r	e.
-	_	7	_	-	-

÷.

	11 - 1	ENERGY LEVELS AND HIGH FIELD TRANSITIONS IN VARYING MAGNETIC FIELD FOR S = 1/2 AND I = 1/2 SYSTEM FOR AN ARBITRARY ORIENTATION	7
	II - 2	PRINCIPAL AXES OF A R1R2CH RADICAL WITH TYPICAL PRINCIPAL HYPERFINE COUPLING CONSTANTS	12
	III - 1	MORPHOLOGY OF SINGLE CRYSTAL OF HEMIMELLITIC ACID DIHYDRATE	17
	111 - 2	BLOCK DIAGRAM OF LLU ESR SPECTROMETER	19
	III - 3	ESR SPECTRUM WITH EXTERNAL FIELD ALONG X AXIS	22
	111 - 4	ESR SPECTRUM WITH EXTERNAL FIELD ALONG Y AXIS	22
	III - 5	ESR SPECTRUM WITH EXTERNAL FIELD ALONG Z AXIS	22
	III - 6	ESR SPECTRUM WITH SIMPLE ABSORPTION LINE SHAPE	23
	III - 7	ESR SPECTRUM WITH ABSORPTION LINES SUBSTRUCTURES	23
	III - 8	ESR SPECTRUM SHOWING CENTRAL CONTRIBUTION OF WING STRUCTURES	24
	III - 9	ESR SPECTRUM SHOWING CENTRAL CONTRIBUTION OF WING STRUCTURES	24
•	III - 10	ESR SPECTRUM OF DEUTERATED CRYSTAL	25
	III - 11	EFFECT OF POWER SATURATION	26
	III - 12	INTERPRETATION OF AN ESR SPECTRUM	28
	111 - 13	ANGULAR VARIATION OF HYPERFINE SPLITTINGS OF AN ALPHA PROTON	30
	III - 14	ANGULAR VARIATION OF HYPERFINE SPLITTINGS OF A METHYLENE PROTON	31
	117~ 15	ANGULAR VARIATION OF HYPERFINE SPLITTINGS OF A METHYLENE PROTON	32
	III - 16	SUPERIMPOSED EXPERIMENTAL AND SIMULATED ESR SPECTRA.	36
	IV - 1	FLOWCHART FOR MAIN PROGRAM PLSPEC	39
	IV - 2	INTEGRATED ESR SPECTRUM WITH SLANTING BASELINE	42

Figure	20	Page
IV - 3	ESR SPECTRUM WITH SLANTING BASELINE CORRECTION	42
IV - 4	A SAMPLE ESR SPECTRUM, A	43
IV - 5	A SAMPLE ESR SPECTRUM, B.	43
IV - 6	SUMMATION SPECTRUM, A + B	43
IV - 7	DIFFERENCE SPECTRUM, A - B	43
IV - 8	INVERSION OF THE SPECTRUM OF FIGURE IV - 4	44
IV - 9	HORIZONTAL CONTRACTION OF SPECTRUM OF FIGURE IV - 4 TO 1/3 ITS ORIGINAL SPAN	46
IV - 10	HORIZONTAL EXPANSION OF SPECTRUM OF FIGURE IV - 4 BY 3 TIMES	46
IV - 11	TITRATION OF CENTRAL COMPONENTS	48
IV - 12	ESR SPECTRUM WITH CURSOR AND ITS COORDINATES DISPLAYED.	50
IV - 13	INTEGRATED ESR SPECTRUM OF FIGURE IV - 4	51
IV - 14	DIFFERENTIATED ESR SPECTRUM OF FIGURE IV - 4	51
IV - 15	SIMULATED GAUSSIAN ABSORPTION ESR SPECTRUM OF A PROTON INTERACTING WITH 3 EQUIVALENT SPIN 3/2 NUCLEI	53
IV - 16	SIMULATED LORENTZIAN ABSORPTION ESR SPECTRUM OF A PROTO INTERACTING WITH 3 EQUIVALENT SPIN 3/2 NUCLEI	N 53
IV - 17	SECOND DERIVATIVE OF SPECTRUM IN FIGURE 16	54
IV - 18	FIRST DERIVATIVE OF SPECTRUM IN FIGURE 16	54
V - 1	SIMULATED ESR SPECTRA OF CYCLOHEXADIENYL-TYPE FREE RADICALS DERIVED FROM GAMMA-IRRADIATED HEMIMELLITIC ACID OR MONODEUTEROHEMIMELLITIC ACID SINGLE CRYSTALS	
	AT AN ARBITRARY_ORIENTATION	57

100

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viii

CHAPTER I

INTRODUCTION

Electron Spin Resonance Spectroscopy (ESR) is a spectroscopic method of detecting the presence of unpaired electrons in atoms, ions, molecules or molecular fragments. Some atoms or molecules possess unpaired electrons naturally. Others derive their unpaired electrons from radiation damage or other chemical or physical means. These are called free radicals. All substances possessing unpaired electrons are paramagnetic. ESR is applicable only to paramagnetic materials.

When the orbit of an unpaired electron embraces an atom which has a nucleus with spin, there will be interactions between the electron and nuclear magnetic moments. They are recorded as hyperfine structures in ESR spectra. From these hyperfine structures, precise information of the structure of the radicals and the distribution of the odd electron can be deduced. These hyperfine structures consist of anisotropic and isotropic components. The isotropic part is independent of the orientation of the radicals with respect to the direction of the external magnetic field while the anisotropic part is directionally dependent.

Since about 1958, there has been explosive expansion in the investigation of oriented radicals. The subject has been reviewed by Morton (1). Recently Farrach and Poole (2) presented an overall theoretical treatment and the application of the theory to the experimental situation.

The analysis of ESR spectra of oriented radicals is generally quite complicated, particularly with anisotropy. The use of a high-speed digital computer can assist greatly in the process of spectral parameter and radical identification. This is done by programmed spectral resolution enhancement, identification and extraction of pertinent data, numerical computation and spectral simulations. The simulation of experimental spectra with parameters derived from spectral analysis or a theoretical model is considered important supportive evidence for the complete identification of a radical.

There are many reports on the utilization of computers to various aspects of ESR spectroscopy. Kerter and Wolfs (3), for example, reported a time-sharing, on-line computer system for the analysis of ESR spectra of organic free radicals. Klopfenstein, Jost and Griffith (4) described a dedigated computer in ESR spectroscopy. Many other reports (5-8) dealt with specific techniques of ESR spectral analysis.

A set of general purpose computer programs is written in this study to assist in the analysis of complex spectra. Some special programs and subroutine are also included for the analysis of anisotropic ESR spectra of oriented free radicals. An interactive approach is adopted, taking advantage of the computer and graphics terminals of the Scientific Computation Facility at Loma Linda University. The programs are readily adaptable to other areas of interest involving spectral analysis.

As an application, the programs are used to assist in the study of the free radicals in gamma-irradiated single crystals of 1,2,3-benzene

tricarboxylic acid dihydrate.

Chapter II, which follows this introduction, outlines the theory of ESR. The effective spin Hamiltonian of a free radical in which one unpaired electron interacts with one proton is described. The eigenfunctions and eigenvalues of the Hamiltonian are given. Mathematical methods to obtain the principal values of the hyperfine interaction tensor are discussed.

Chapter III reports the investigation of free radicals in gammairradiated single crystals of 1,2,3-benzene tricarboxylic acid dihydrate.

Chapter IV is a general description of the computer programs written to assist in spectral analysis. Brief descriptions are given of the twelve major subroutines of the main analysis program. Pour linkage programs are also described.

Chapter V is a conclusion on the application of the computer for ESR spectral analysis. Further research and development are suggested.

3

CHAPTER II

THEORY AND PRACTICE OF ESR OF TRAPPED RADICALS

The spin Hamiltonian for a free radical in which one Unpaired electron interacts with one proton is

 $H = \beta H \cdot g \cdot S + S \cdot A \cdot I + g_N \beta_N H \cdot I$

Where β is the Bohr magneton, $\overline{\beta}$ is the electron spin operator, \overline{g} is the spectroscopic splitting factor, $\overline{\lambda}$ is the hyperfine interaction tensor, \overline{H} the external magnetic field, and $\overline{1}$ is the nuclear spin operator. The three terms on the right hand side of the equation are the Electron Zeeman, Hyperfine interaction, and Nuclear Zeeman terms respectively.

Since Organic free radicals show relatively little g- tensor anisotropy, the s-tensor can be regarded as a scalar. Whus we have for the Hamiltonian

 $H = g_{\beta}H \cdot \bar{S} + \bar{S} \cdot \bar{A} \cdot \bar{I} - g_{N}\beta_{N}H \cdot \bar{I}$

Assuming that the nuclear Zeeman effect is small and negligible we have

$$\begin{array}{rcl} \mathbf{z} &= & gB[\mathbf{x}^{T}\mathbf{x} &+ & \mathbf{\bar{S}}^{T}\mathbf{x}^{T}\\ &= & gB[\mathbf{\bar{u}}_{\mathbf{x}}, & \mathbf{\bar{u}}_{\mathbf{y}}, & \mathbf{\bar{u}}_{\mathbf{y}}^{T}] \begin{bmatrix} \mathbf{\bar{S}}_{\mathbf{x}}\\ \mathbf{\bar{S}}_{\mathbf{x}} \end{bmatrix} + \begin{bmatrix} \mathbf{\bar{S}}_{\mathbf{x}}, & \mathbf{\bar{S}}_{\mathbf{y}}, & \mathbf{\bar{S}}_{\mathbf{y}} \end{bmatrix} \cdot \begin{bmatrix} \mathbf{\bar{A}}_{\mathbf{x}} & \mathbf{\bar{A}}_{\mathbf{xy}} & \mathbf{\bar{A}}_{\mathbf{xz}}\\ \mathbf{\bar{A}}_{\mathbf{xx}} & \mathbf{\bar{A}}_{\mathbf{yy}} & \mathbf{\bar{A}}_{\mathbf{xz}} \end{bmatrix} \cdot \begin{bmatrix} \mathbf{\bar{I}}_{\mathbf{x}}\\ \mathbf{\bar{I}}_{\mathbf{y}} \\ \mathbf{\bar{A}}_{\mathbf{x}} & \mathbf{\bar{A}}_{\mathbf{yy}} & \mathbf{\bar{A}}_{\mathbf{xz}} \end{bmatrix}$$

where x,y,z are an orthogonal set of axes based on the morphology of the single crystal.

Expanding the Hamiltonian gives

$$H = g\beta H_X S_X + g\beta H_V S_V + g\beta H_Z S_Z + S_X A_{XX} I_X + S_X A_{XV} I_V + \dots + S_Z A_{ZZ} I_Z$$

Let a,b,c be the orthogonal laboratory axes. The direction cosines of a,b,c with respect to x,y,z are $(\widehat{l_a}, m_a, n_a)$. (l_b, m_b, n_b) , and (l_c, m_c, n_c) .

When the Zeeman energy is relatively large, so that the hyperfine interaction causes no mixing of the zero order electron spin states, we can expand the Hamiltonian in the s,b,c axes and dropping the terms in S_n and S_b , which are off diagonal in Ms, and obtain

$$H = g\beta H_{c}S_{c} + P_{x}S_{c}I_{x} + P_{y}S_{c}I_{y} + P_{z}S_{c}I_{z}$$

where

$$P_{\mathbf{x}} = \mathbf{1}_{C} \mathbf{A}_{\mathbf{x}\mathbf{x}} + \mathbf{m}_{C} \mathbf{A}_{\mathbf{y}\mathbf{x}} + \mathbf{n}_{C} \mathbf{A}_{\mathbf{z}\mathbf{x}}$$

$$P_{\mathbf{y}} = \mathbf{1}_{C} \mathbf{A}_{\mathbf{y}\mathbf{y}} + \mathbf{m}_{C} \mathbf{A}_{\mathbf{z}\mathbf{y}} + \mathbf{n}_{C} \mathbf{A}_{\mathbf{x}\mathbf{y}}$$

$$P_{\mathbf{z}} = \mathbf{1}_{C} \mathbf{A}_{\mathbf{z}\mathbf{z}} + \mathbf{m}_{C} \mathbf{A}_{\mathbf{x}\mathbf{z}} + \mathbf{n}_{C} \mathbf{A}_{\mathbf{y}\mathbf{z}}$$

The matrix of the Hamiltonian H, $<M_SM_I|H|M_SM_I>$, for $M_S=M_I=1/2$

is

$$\begin{vmatrix} ==> & |=g> & |g=> & |g=> & |g=> \\ <==| 1/2g6H_{c} + 1/4P_{z} & 1/4(P_{x}-iP_{y}) & 0 & 0 \\ <=6| 1/4(P_{x}+iP_{y}) & 1/2g6H_{c}-1/4P_{z} & 0 & 0 \\ <=6=| 0 & 0 & -1/2g6H_{c}-1/4P_{z} & -1/4(P_{x}-iP_{y}) \\ <=6| 0 & 0 & 1/4(P_{x}+iP_{y}) & -1/2g6H_{c}+1/4P_{z} \\ <=0 & 0 & 0 & 1/4(P_{x}+iP_{y}) & -1/2g6H_{c}+1/4P_{z} \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 \\ <=0 & 0 & 0 & 0 & 0 \\ <=0 & 0$$

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From which we obtain the eigenfunctions

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and the eigen values

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 $E_1 = 1/2 g g H c + 1/4 R$ $E_2 = 1/2 g g H c - 1/4 R$ $E_3 = -1/2 g g H c + 1/4 R$ $E_4 = -1/2 g g H c - 1/4 R$



FIGURE II - 1 ENERGY LEVELS AND HIGH FIELD TRANSITIONS IN VARYING MAGNETIC FIELD FOR S = $\frac{1}{2}$ AND I = $\frac{1}{2}$ SYSTEM FOR AN ARBITRARY ORIENTATION. 2

Transitions of electrons at different energy levels are induced by an alternating field perpendicular to the applied magnetic field and we find the transition probabilities

 $\{ < \Psi_1 | S_a | \Psi_4 > \}^2 = \{ < \Psi_2 | S_a | \Psi_3 > \}^2 = 1$

 $\{\langle \Psi_{2} | S_{a} | \Psi_{4} \rangle \}^{2} = \{\langle \Psi_{1} | S_{a} | \Psi_{3} \rangle \}^{2} = 0$

In practice the microwave frequency is held constant and the external magnetic field is varied. Fig. II - 1 shows the transition from E_1 to E_4 occurs at lower field, H $_{14}$, and the transition from E_2 to E_3 occurs at a higher field, H $_{23}$.

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Since the microwave frequency is constant, the transition energies are

$$hv = E_1 - E_4 = g\beta H_{14} + 1/2R$$

and

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$$= E_2 - E_3 = gBH_{23} - 1/2R$$

Hence the ESR spectrum comprises two lines of equal intensity with a field separation of

$$\Delta H = H_{23} - H_{14} = \frac{R}{q\beta}$$

Writing R in full, dropping the subscripts, c, for the direction consines we see that

$$R^{2} = (\hat{1}, \hat{k}, \hat{1}, \hat{k}, \hat{1})$$

$$= \hat{1}, (\hat{k}^{2}), \hat{1}$$

$$= \hat{1}, \hat{\tau}, \hat{1}$$
re $\hat{1} = [1, n, n,]$ is the direction cosine vector
$$= -2$$

when

i.e.	Txx	тху	Txz	1	Axx	A _{xy}	Ayz		[A _{xx}	A _{xy}	Axz
	тух	туу	T _{yz}	-	Ayx	А УУ	A yz	•	Ayx	А УУ	A yz
	Tzx	Tzy	Tzz		Azx	A zy	Azz		Azx	A zy	Azz

Experimentally, we mount the crystal along three orthogonal axes and rotate about each axis at fixed intervals of say 10° with the external field perpendicular to the axis of rotation. For example, if we rotate the crystal about the Z-axis and specify the direction of the field in the xy-plane by the angle θ which it makes with the x-axis, we have

 $1 = \cos \theta$ $m = \sin \theta$ n = 0

and

 $R^{2}(\theta) = \{T_{xx} \cos^{2}\theta + T_{yy} \sin^{2}\theta + 2T_{xy} \sin\theta \cos\theta\}$

The least square procedure is employed to fit the experimental curve of $R^2(\theta)$ versus θ , and the elements of the \bar{T} tensor are obtained.

The $\bar{\tau}$ matrix is diagonalized and square roots of the diagonal values are the principal hyperfine coupling constants, $\lambda_{\chi\chi}$, $\lambda_{\gamma\gamma}$ and $\lambda_{\chi\chi}$, where X,Y, Z are the principal axes of the free radical. Since the principal constants are each the sums of an anisotropic dipolar interaction and an isotropic contact interaction tensor, we have

AXX	0	0		Ad	0	0		a	0	0
.0	AYY	0	-	0	• ^B d	0	+	0	а	0
0	0	AZZ		0	0	cd		0	0	a

where a is the isotropic Fermi contact interaction constant.

Hyperfine splittings due to the isotropic interaction of a nucleus directly attached to an atom with an unpaired electron is proportional to the electron spin density at the atom (1). By comparing spin degisities determined from ESR spectra of a free radical with those calculated for various proposed alternatives, it is possible to ascertain the correct radical species, or at least narrow the possibilities.

Expressed in polar coordinates, the dipolar interaction constants

$$\begin{array}{rcl} \lambda_{\rm d} &=& C_{f,0} \left(\underline{r} \right) & (\underline{1-3 \sin^2 \theta \ \cos^2 \theta}) d\tau \\ \hline & & & & & \\ \mu_{\rm d} &=& C_{f,0} \left(\underline{r} \right) & (\underline{1-3 \sin^2 \theta \ \sin^2 \theta}) d\tau \\ \hline & & & & & \\ \mu_{\rm d}^{-1} & & & \\ \hline & & & & \\ \Gamma_{\rm d}^{-1} & & & \\ \hline & & & & \\ \Gamma_{\rm d}^{-1} & & & \\ \end{array}$$

where $C = g\beta g_N \beta_N /h$

In these equations, h is Planck's constant, g_N is the nuclear g-factor, β_N is the nuclear magneton, θ is the polar angle, ϕ is the azimuthal angle, $\rho(\mathbf{r})$ is the electron density function with the origin at the proton, $\bar{\mathbf{r}}$ is the vector denoting the location of the electron with respect to the proton, ε indicates a small region around the nucleus with a radius of the order of $(h/2\pi mc) = 4 \times 10^{-11}$ cm which is excluded from the integration.

In general the principal axes, X, Y, Z, do not coincide with the crystal axes, x, y, z. Therefore the dipolar interaction tensor can be written

The angular brackets imply an average taken over the electron wave function, as the electron is not fixed in space. We see that the matrix is symmetric and it has a zero trace. Since the trace of a matrix is preserved under unitary transformation, we have

$$A_{XX} + A_{YY} + A_{ZZ} = (A_d + B_d + C_d) + 3a = 3a$$

$$\mathbf{a} = \frac{1}{3} \begin{pmatrix} \mathbf{A}_{\mathbf{X}\mathbf{X}} + \mathbf{A}_{\mathbf{Y}\mathbf{Y}} + \mathbf{A}_{\mathbf{Z}\mathbf{Z}} \end{pmatrix}$$

Hence,

The transformation that diagonalizes the \bar{T} matrix gives the direction cosines of the principal hyperfine axes X,Y,Z with respect to the crystal reference axes x,y,z, that is

$$\begin{bmatrix} 1 \\ \mathbf{x}\mathbf{x} & \mathbf{1} \\ \mathbf{x}\mathbf{y} & \mathbf{1} \\ \mathbf{x}\mathbf{y} \\ \mathbf{1} \\ \mathbf{y} \\ \mathbf{x} \\ \mathbf{1} \\ \mathbf{x} \end{bmatrix} \begin{bmatrix} 1 \\ \mathbf{x}\mathbf{x} & \mathbf{1} \\ \mathbf{x}\mathbf{x} \\ \mathbf{y}\mathbf{x} \\ \mathbf{x} \\$$

It is possible to relate these principal hyperfine coupling constants and their principal directions to the structure and orientation of the free radical in the crystal. Theoretical calculations (9,10) show that the principal axes for a trigonal carbon atom with an unpaired electron in a 2P orbital normal to the radical plane are related to the C-H bond direction. The direction of the minimum principal coupling constant is along the C-H bond whereas that of the intermediate principal coupling constant is perpendicular to the radical plane, i.e. parallel to the P orbital. See Pigure II - 2. Thus the direction cosines specify the orientation of the free radical with respect to the crystal axis system.

When more than one nuclei with spin interact with an unpaired electron, complex hyperfine patterns may occur. In case of the equivalence of the interacting nuclei, ESR spectra will show absorption lines bearing simple binomial ratios (22). For example, two equivalent protons give three lines of relative intensities 1:2:1; three equivalent protons, such as thore in a rapidly rotating methyl group, yield four lines of relative intensities 1:3:3:1. Thus the number and relative intensities of the ESR spectral lines are often the first clue to the identification of a free radical.



FIGURE II - 2 PRINCIPAL AXES OF A R'R2CH RADICAL WITH TYPICAL PRINCIPAL HYPERFINE COUPLING CONSTANTS

Many excellent references (11-15) are available for further development of the theory and practice of electron spin resonance spectroscopy.

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CHAPTER III

COMPUTER-ASSISTED ANALYSIS OF ESR SPECTRA

A. INTRODUCTION :

Free radicals produced by irradiation of benzene and its derivatives have been studied by a number of investigation. Ohnishi, Tanei and Nitta (16) studied polycrystalline benzene and some of its mono and di-substituted derivatives after gamma-irradiation at -196°C. They proposed that free radicals were produced by both hydrogen abstraction and hydrogen addition on the benzene ring. For example, cyclohexaldienyl and phenyl radicals are formed when crystalline benzene is irradiated.



The cyclohexaldienyl radical gives rise to an ESR spectrum that consists of a triplet (splitting 47.5G) with a quartet (splitting 10.4G), each quartet showing further triplet splittingg of approximately 2.5G. The structure was designated the TQt structure. The phenyl radical gives rise to a singlet of about 25G line width.

Pessenden and Schuler (17) studied irradiated solid benzene at and below 0°C. They disagreed on the TQt structure interpretation of the cyclohexaldienyl free radical. Their arguments were based on ESR spectral asymmetry and the unequal spacing of various group components. Kasai, Hedaja and Whipple (18) studied phenyl radicals by the photolysis of phenyl iodide in an argon matrix at 4°K. The unpaired electron was found to be localized in the nonbonding sigma orbital centered at the 1 carbon with isotropic coupling constants: A_{ortho} = 17.4 : 0.16, Ameta = 5.9 :0.16 and Apara = 1.9 :0.16.

Campbell and Turner (19) investigated many gamma-irradiated solid substituted benzenes. Not all compounds gave cyclohexaldienyl-type ESR spectra. They found that cyclohexaldienyl-type radicals are more readily formed when solid substituted benzenes were irradiated at room temperature. Also cyclohexaldienyl-type of radicals were found to be more readily formed from 1,3 than 1,2- or 1,4- disubstituted benzenes.

Eiben and Schuler (20) studied carboxylated cyclohexaldienyl radicals in aqueous solution. For 1,2,3- benzene tricarboxylate acid (hemimellitic acid), they reported two radical species. Adduct hydrogen at the meta position with respect to the central carboxylate group, gives a hyperfine coupling of 43.99 gauss. Hyperfine coupling constants for the protons adjacent and meta to the adduct hydrogen at the para position. to the central carboxylate group, gives a hyperfine coupling constant of 44.95 gauss. The other two ring protons were found to be equivalent with a coupling constant of 8.29 gauss.

10.

No and Adman (21) reported crystallographic studies of 1,2,3benzene tricarboxylic acid dihydrate crystal. Table III - 1 lists the crystallographic data of hemimellitic acid dihydrate crystals.

. It is the purpose of this study to investigate free radicals in ... gamma-irradiated single crystal of hemimellitic acid dihydrate.

B. EXPERIMENTS:

Powdered hemimellitic acid was dissolved in distilled water to make a saturated solution. Under slow evaporation, hemimellitic acid crystalized out at the bottom of the container. Deuterated crystals were grown in similar manner with 99.5% pure heavy water, $D_{pO,as}$ solvent.

Figure III - 1 shows the morphology of a single crystal hemimellitic acid with designated crystallographic axes and faces based on external symmetry.

Crystals each of approximate size of $3mm \times 4mm \times 5mm$ were gammairradiated at room temperature in a Gamma Cell each with dosage of about 5Mr at a rate of 7.64 x 10⁴ rad per hour.

Irradiation turns the color of the crystal pinkish. Each crystal was oriented by an optical method based on the external symmetry of the crystal. Each crystal was mounted on top of a goniometer which provided rotation about three orthogonal axes. The goniometer itself was mounted on an optical bench. A point source arc lamp was used to project the shadow of the crystal on a grid paper. Crystallographic axes were aligned to within 1 degree. Once aligned, each crystal was glued to a polystyreme rod approximately 8 in. long, with the desired crystallographic axis parallel



FIGURE III - 1 MORPHOLOGY OF SINGLE CRYSTAL OF HEMIMELLITIC ACID DIHYDRATE

TABLE III - 1 HEMIMELLITIC ACID DIHYDRATE SINGLE CRYSTAL DATA

CRYSTAL SYSTEM			TRICLINIC
Angles between axes	α	-	100.70 ± .02 Å
	в	-	88.10 ± .02 Å
	Ŷ	-	106.46 ± .02 Å
engths of axes	a	-	8.728 ± .004 Å
	b	-	9.118 ± .004 Å
	c	-	7.086 ± .004 Å
olecules per cell			2
pace group	7		ΡĪ

to the axis of the rod.

ESR spectra were recorded at room temperature with external magnetic field in the three orthogonal reference planes xy, yz, and xz, see reference axes in Figure III - 1. An X-band spectrometer of conventional design with 100 KHz modulation was used. Figure III - 2 is a block diagram of the LLU ESR spectrometer. ESR spectra were recorded at 10 degree intervals in each plane by successive rotation of the crystal. The ESR spectrometer was most commonly operated with field modulation b¢ approximately one gauss and sensitivities of 20 mV. All spectra were taken with a magnetic field sweep 04 200 ms. Scan rate was 0.4 gauss per second

Each ESR spectrum was traced on graph papelt-by an x-y plotter, and at the same time it was recorded on an Ampex SP-300 7 channel magnetic tape recorder. Two channels were used. Channel one recorded the spectrum from the spectrometer. Channel two recorded a trigger pulse from a hand operated pulse generator prior to each magnetic scan of the spectrum. Data on magnetic tape were digitized at 28 data points per second and stored in LINCTAPE via a Digital Equipment Corporation PDP-12 computer. Digitization was accomplished by the PDP-12 program CATACALE. The trigger pulse recorded on channel two of the tape recorder was used to initiate the digitization of each spectrum. There were /024 data points per ESR spectrum.

Information stored on LINCTAPE was transcribed on high density 6250 bpi Scotch tape to be analyzed at the Scientific Computation Pacility. For random access and spectral analysis, data on magnetic tape was stored on disc at the Pacility.



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C. COMPUTER-ASSISTED SPECTRAL ANALYSIS :

After the main program, PLSPEC, was compiled and executed, interactive analysis procedures were carried out by successive entering of operating codes and necessary parameters. Typically, an ESR spectrum was called from disc and displayed at the CRT terminal by entering the file and record number of the spectrum. If the spectrum was 180° out of phase with other spectra it was inverted. The smoothing procedure was applied when needed. A cursor was programed to trace along the spectrum. At appropriate points, the enabling of a computer sense switch stopped the trace and caused the display of the coordinates of the stopping points. The field values were recorded in a note book. Hyperfine coupling constants were obtained from these values. Integration was sometimes carried out to ascertain the ratio of line intensities. The ESR spectrum was recorded as a first derivative curve. A differentiation operation gave the second derivative which often helped to ascertain the magnetic field value of an absorption line. Any baseline slanting as the result of integration or differentiation was corrected.

Data recorded in the notebook were used for the simulation of the spectrum. This helped to ascertain correct interpretation of the hyperfine splittings. To compare this spectrum with the experimental one, woth spectra were displayed on the CRT terminal together. Shifting and scaling of one spectrum in both the x and y directions might be needed. This would bring the two spectra into close fit.

The central components of the spectrum was titrated with high and low field structures. This was intended to expose supaddumposed hyperfine structure due to different radical species. Further details are discussed in the next section.

20 .

D. EXPERIMENTAL DATA AND SPECTRAL ANALYSIS :

1. Observed Spectra

Upon examining the whole set of ESR spectra, a prominent feature of two lateral doublets and a central line group is apparent. They bore an intensities ratio of close to 1:2:1. See Figures III - 3 to III - 5. In certain orientations, each absorption line further decomposed into substructures. See Figures III-6 and III-7.

The similarity of the line shapes between the lateral doublets and lines in the central components indicated that the doublets had central contributions. See Figures III-8 and III-9.

Deuterated crystals show similar spectra with better resolution. More auxillary lines occured between the lateral doublets and the central components. See Figure III - 9 & 10. Since the extent and location of deuteration on the crystal molecules were not determined, investigation • on these auxillary lines were not made.

Power saturation reduces the relative intensities of outer doublets and their corresponding central components. However, the spectrum still shows rather complicated pattern. See Figure 11 - 11.

, 2. Spectral Analysis

The 1:2:1 ratio between the lateral doublets and the central components can be interpreted as due to anisotropic hyperfine interactions with two nearly equivalent protons. In general the two lateral doublets show the same shape whereas the central line group differ somewhat, indicating that equivalence is not quite achieved.



FIGURE III - 5 ESR SPECTRUM WITH EXTERNAL FIELD ALONG Z AXIS



FIGURE III - 7 ESR SPECTRUM WITH ABSORPTION LINES SUBSTRUCTURES







10 à 25

FIGURE III - PO ESR SPECTRUM OF DEUTERATED CRYSTAL


Figure III - 12 shows a possible interpretation of a typical spectrum. The two larger and almost equal hyperfine splittings show relatively small anisotropy. However, the hyperfine splitting that gives rise to the doublet is fairly anisotropic. The smallest hyperfine splitting is not resolvable in most orientations.

These results strongly suggest hyperfine interactions with two protons of a methylene group. A hydrogen atom has been added to a carbon , atom of the aromatic ring. Thus the carbon atom sp² orbital transforms to a sp³ hybridization. The doublet splitting is the result of the hyperfine interactions between an aromatic ring proton and the remaining benzene ring pi-orbital. The poorly resolved splitting is contributed by another ring proton.

Cyclohexadienyl-type radicals resulting from hydrogen addition at the 4 or 6 positions meet the above criteria.



Consider the case of hydrogen addition at the 4 position, the two methylene protons on carbon 4 are almost equivalent. They are beta protons, hence they exhibit small anisotropy. Three hyperconjugation structures can be written.





The spin density at C_5 is higher than that of C_6 . Therefore, H₅ gives rise to the doublet splitting while H₆ gives rise to the smallest hyperfine splitting.

An alternative free radical resulted in hydrogen addition at the C_{ς} position has the following three hyperconjugate structures:



These strongly suggest equal electron spin density at the C_4 and C_6 positions. Near equivalence of H₄ and H₆ would show triplet splittings with relative intensities ratio close to 1:2:1 at the high and low field positions. However, such feature is not observed in any of the ESR spectra. It is concluded that hydrogen addition at the C_5 proton is insignificant.

Figure III - 13 to 15 show the angular variation of the three measurable hyperfine splitting constants. Least square procedure is applied to the Data. Solid lines in the figures join the computed values. The square of the hyperfine interaction tensor of the proton at C_5 is also obtained by the least square procedure. Expressed in megahertz unit it is :



FIGURE III - 13 ANGULAR VARIATION OF HYPERFINE SPLITTINGS OF AN ALPHA PROTON



METHYLENE PROTON



 340.51
 -329.25
 220.27

 -329.25
 676.72
 -274.93

 220.27
 -274.93
 698.92

It is diagonalized to give :

Taking the square roots of the diagonal matrix elements gives the tensor of principal hyperfine coupling constants.



The negative signs are imposed by spin density distribution considerations (22). The tensor is resolved into anisotropic and isotropic parts :



21

The direction stress of the principal axes with respect to the

crystal x,y,z axes are :

The direction associated with the prior diate principal coupling constant is parallel to the cambon 2P, doubtal. True the direction cosines corresponding to this axid, it is expects that it makes header of $77*22^{+}$ 144*18*, and 128*48* with the parallel x,y,t area, respectively. Associant no major conformational chinos of the headerse ting due to rediction, the P₂ orbital of C₂ should be parallel to the normal of the headerse ring. From crystallographic data the direction cosines of this mormal with respect to the crystal axes can be evaluated. Theoretically these direction cosines should agree. Due to the difficulties of correlating the crystall morphology with the unit call orientation. Such agreement has not head determined.

The hyperfine interaction tentor of one of the sethylene proton is found to be :

The other methylene proton hyperfine interaction tensor is

The average value of the isotropic hyperfine interaction constants of the methylene potons in units of gauss is 25.99 gauss, and that of the C5 proton is 86 gauss. They agree fairly well with published values of 41.99 gauss and 8.07 gauss respectively (20). Simulated second based on measured values fit well with experimental spectra. Som higher 111 - 16. One of the sources of the adduct hydrogen is the time protoc of a meighbor molecular. If this the case, thenyi-two free redicate are formed.





At is expected phenyl-type radicals would contribute for the pentral contribute of the ESE spectra. Fitzetion of central components with Lashablenz structures was done with UNIVAT series 20 computer for THE spectra. The lateral doublets on she high and lose field positions are highed to the center by the assumes equal to measured satisfies protrace byperfine splittings. They were subtracted from the central compoments. The resultant sputtra are quite complicated; they are not analyzed



FIGURE III - 16 SUPERIMPOSED EXPERIMENNIAL AND SIMULATED ESR SPECTRA

in this study. The relative sizes and shapes of these structures strongly suggest the presence of phenyl-type free radicals. It is evident that other radical species are also present.

CHAPTER IV

COMPUTER PROGRAMS FOR SPECTRAL ANALYSIS

A. THE MAIN PROGRAM FOR SPECTRAL ANALYSIS

The main program consists of twelve major subroutines. Figure IV-is a flowchart of the main program. The main program opens two disk files for the storage and retrieval of ESR spectra. In one of the files, experimental ESR spectra were previously stored for analysis.

According to the operational codes supplied to it via the CRT terminal, the program branches to different subroutines or to one of the linkage programs to perform special functions in spectral analysis.' The main program establishes an array and passes it to various subroutines as parameter. The array contains a ESR spectrum to be analyzed. This same array is shared with other/linkage programs by means of blank COMMON.

Upon completion of a particular function via a sub-routine or linkage program, the main program is feady to receive a new operation code for further analysis procedures. An operation code larger than the total number of major subroutines and linkage programs, sixteen in this case, terminates the entire program. The main program is stored on the disk under the name PLSPEC.

38



B. MAJOR SUBROUTINES:

Tvelve major subroutines, some of which have their own subroutines, were written. Each performs a special function in the process of spectral analysis.

The following is a description of the subroutines. P is the array which contains a spectrum to be analyzed. It is passed to different subroutines as a parameter.

1. Subroutines ESRDIS (F)

This subroutine retrieves a particular ESR spectrum from the disk after accepting the required file number and records number via the terminal.

Subroutine ESRSTR (F)

A spectrum stored in an array F may be transferred to disk by specifying disk locations from the terminal.

Subroutine ESRSLP (F)

A systematic drift in the baseline during the recording of a spectrum frequently occurs. This subroutine subtracts a slanting portion from the spectrum to restore a horizontal beseline. Coordinates of two points on the slanting spectrum are entered via the terminal. The slope of the line joining the two points is calculated and a resulting ramp function is subtracted from the origional slanting spectrum restoring a horizontal baseline. Values of the stwo coordinates were determined by the linkage program, ESRTRA, described in the latter part of this chapter. Figures IV-2 & 3 show a slanting spectrum before and after restoring to a horizontal baseline.

4. Subroutine ESRSUM (F)

Often the addition or subtraction of certain components to or from a complex spectrum helps to clarify the structure of a spectrum. The subroutine ESRSUM calls from disk two spectra according to file and records numbers supplied to it via the terminal. A signed integer serves as a code to add or subtract the two spectra. Figures IV-4 to 7 show two spectra and their sum and difference spectra.

5. Subroutine ESRINV (F)

Experimental conditions sometimes give ESR spectra that are 180 degrees out of phase with other spectra. Subroutine ESRINV serves to invert a spectrum about its average ordinate value. Figure IV-8 shows the inversion of Figure IV-4.

6. Subroutine XSCALE (F)

To compare ESR spectra recorded with different coordinate scales or to compare a simulated spectrum with an experimental one, it is necessary to be able to rescale one or both spectra in the x direction. This subroutine accepts a multiplication factor and then modifies the spectrum accordingly. A value greater than one means expansion while a value less than one means contraction. All features expanded out side the range of the original array are lost. Therefore, diffing may be necessary before







FIGURE IV - 4 A SAMPLE ESR SPECTRUM, A.



FIGURE IV - 5 A SAMPLE ESR SPECTRUM, B.

FIGURE IV - 6 SUMMATION SPECTRUM, A + B.

-M-Mp-Mp

FIGURE IV - 7 DIFFERENCE SPECTRUM AN - B.

FIGURE IV - 8 INVERSION OF THE SPECTRUM OF FIGURE IV - 4.

expansion to preserve needed portion of the spectrum. Figures IV-9 and IV-10 show the contracted and expanded spectra of the one shown in Figure IV-4.

Subroutine ESRSHF (F)

In order to achieve alignment of spectra before addition or subtraction, it is necessary to shift a spectrum along the X-axis. Subroutine ESRSHF shift a spectrum left or right according to the value supplied to it via the terminal. A positive integer signifies shift right while a negative integer signifies shift left. Values shifted out of the array range are logt.

- Subroutine YSCALE (F) Subroutine YSCALE multiplies the Y values of a spectrum by a multiple entered via the terminal.
- 9. Subroutine ESRSMO (F)

A convolution procedure (23) of an eleven point quadratic least-square curve fitting is used to smooth a spectrum.

Subroutine ESRCPY

This subroutine supplies a hard copy of what is being displayed on the graphic terminal via a Calcomp plotter.

11. Subroutine SCOPX (F)

Upon completion of various subroutines or the execution of linkage programs, subroutine SCOPX is called to display the resultant spectrum.

12. Subroutine CNTLTL

For the analysis of spectra of gamma-irradiated single



crystals of 1,2,3-benzene tricarboxylic acid dihydrate, it is necessary to titrate central components of each spectrum with components from both wings. Wing structures from both high field and low field positions are shifted to the center to coincide with corresponding features and subtracted from the spectrum. The resultant spectrum is displayed for analysis. See Figure 11.

C. LINKAGE PROGRAMS

Because of computer memory restrictions, four independent programs are linked to PLSPEC to facilitate the total function of spectral analysis. Each program is separately loaded on the disk with a different program name. Linkage of programs is made by the Call Link function.

1. ESBSCN

Subroutine ESRSCN provides a sequential display of all ESR spectra stored on the disk. It opens the disk file in which spectra are stored and displays each spectrum in sequence with a sequence number. The setting of computer console sense switches is used to stop the scan at any desired point and the scan may continue or be terminated by an operation code entered via the terminal.

2. ESRTRA

To obtain pertinent information from a spectrum, such as line width or location, a cursor is used to trace along a spectrum. When the cursor arrives at a particular point of interest, the setting of a sense switch stops



FIGURE IV-11 TITRATION OF CENTRAL COMPONENTS

the trace. The X, Y-coordinates of the stopping point of the cursor are displayed. The trace continues with the resetting of the sense switch. Figure IV - 12 shows the cursor and the display of its coordinates.

ESRDIX

This program numerically differentiates or integrates a given spectrum. An eleven point convolution method (23) is used. Righer degrees of differentiation and integration are allowed. A positive integer code indicates the degree of differentiation while a negative integer denotes the degrees of integration. The resultant spectrum is normalized to full Y-scale before displaying at the graphic terminal. Figures IV - 13 6 14 show the integrated and differentiated spectrum of Figure IV - 4.

- 4. PLESR
 - a. The Main Program

The main program accepts appropriate spectral parameters and calls the subroutine, ESR, for the simulation of ESR spectra.

b. Subroutine ESR

This subroutine accepts the number of groups of equivalent nuclei for a particular free radical, the spin quantum number for each group, and the hyperfine splitting constants of each group. It calls subroutine PLENDP to calculate the number of lines and their relative intensities, HS (I) & DS (I). Subroutine





PLBNXP accepts nuclei of any integral or half integral guantum numbers.

After normalizing DS (I) to the scale of the defined display ordinate, line spectra are displayed by calling subroutine PLINE.

c. Subroutine ESRSPC

The subroutine ESRSPC generates and displays the spectra in the form specified by operation codes passed to it from the main program. Figures IV - 15 to 18 are some sample displays of PLESR.





FIGURE IV-18 FIRST DERIVATIVE OF SPECTRUM IN FIGURE 16

CHAPTER V

SUMMARY AND CONCLUSIONS

A. FREE RADICALS IN GAMMA-IRRADIATED HEMIMELLITIC ACID DIHYPRATE SINGLE CRYSTAL :

Gamma irradiation at room temperature produced several radical species. Of these the cyclohexadienyl-type free radical was identified. It was formed by hydrogen addition at the C_4 or C_6 positions predominantly. Principal hyperfine coupling constants were determined. The isotropic hyperfine interaction constants were found to be 7.86 gauss and 45.99 gauss, respectively, for the alpha proton and the methylene protons. They agree well with published results of these free radicals in aqueous solutions. Power saturation studies and computer-assisted central components titration reveal the presence of other free radicals. Phenyl - type radicals were highly probable.

Further investigations should be made to correlate the crystal axes to the unit cell orientation. The degree of agreement between the direction cosines of the normal to the plane of the benzene rings and the direction of the intermediate principal hyperfine coupling constant, provides information on the geometrical changes of the molecule due to gamma irradiation.

Synthesis of selectively deuterated molecules would help to identify different free radicals. The source of the adduct hydrogen atom may be ascertained. Thus the hydrogen addition process may be clarified.

Jen, Foner, Cochran, and Bower (24) found that in solid matrix, deuterium atoms gave an overall triplet splitting approximately 1/3.27 of that of protons. Therefore it is possible to simulate spectra of selectively deuterated cyclohexadiomyl-type free radicals. This is done by changing the appropriate quantum number from 1/2 to 1 and reducing the associated hyperfine coupling constant by a factor of 1/6.54 during simulation. Figures V = 1 (b) to (k) are simulated spectra of selectively deuterated cyclohexadiomyl-type radicals. They are modifications of the spectrum shown in Figure V = 1(a). In Figure V = 1(b), the C_5 proton replaced by a deuterium atom. Figure V = 1(c) shows the effects of replacement of one methylene proton and the C_5 proton by deuterium atoms. In Figure V = 1(d), the other methylene proton, which has a slightly greater isotropic hyperfine coupling constant, and the C_5 proton are replaced. Figure V = 1(e) is a summation of the spectra in Figures V = 1(c) and V = 1(d).

If the spectrum of cyclohexadienyl-type free radicals from 5-monodeuterohemimellitic acid dihydrate crystal shows close similarity with the spectrum in Figure V - 1(b), one may exclude the possibility of the adduct hydrogen atom coming from the C₅ proton of another molecule. On the other hand, if the experimental spectrum shows close similarity with either Figure G - 1(c) or V - 1(d), it may be concluded that the source of the adduct hydrogen comes from the C₅ proton of another molecule, and the addiction is stereespecific. If addition is non-stereospecific, a composite spectrum which is the sum of the spectra in Figures V - 1(c) and V - 1(d) will result. See Figure V - 1(e). Partial stereospecificity



FIGURE V - 1 ESR SPECTRA OF CYCLOHEXADIENYL-TYPE FREE RADICALS DERIVED FROM GAMMA-IRRAGIATED HEMIMELLITIC ACID OR MONODEUTERCHEMIMELLITIC ACID SINGLE CRYSTALS AT AN ARBITRARY ORIENTATION (SIMULATIONS)



FIGURE V - 1 CONT.







(k)

X - COOH

FIGURE V - 1 -CONT



(k)

X - COOH

FIGURE V - 1 CONT

can be evaluated by adding the same two spectra in varying proportions. A similar analysis can be carried out for cyclohexadienyl-type free radicale in gamma-irradiated 4- or 6- monodeuterohemimellific acid single crystals. An experimental spectrum that is similar to one of those shown in Figure V - 1(f), (g), (h) or similar to a composite mix of any combination of the three precludes the possibility of adduct hydrogens coming from the 4 or 6 positions. If adduct hydrogens were abstracted from the 4 or 6 positions, spectrum similar to any one or any combination of those in Figures V - 1(i), (j), (k), would be expected. Thus the source of the adduct hydrogens can be deduced. The process of the formation of the cyclohexadienyl-type free radicale may be ascertained.

B. COMPUTER-ASSISTED ESR SPECTRAL ANALYSIS

In the analysis of gamma-irradiated single crystals of 1,2,3benzeme tricarboxylic acid dihydrate, the necessity of the use of the digital computer is evident. Several programs written in FORTRAN IV are developed for this study. With proper modifications they are adaptable to many areas of research that requires spectral analysis.

Operating in an interactive mode, the computer with its graphics and keyboard terminals enables the investigator to carry out different analysis procedures by entering appropriate operation codes and necessary parameters. Many of these procedures would be too tedious to be practical or too imprecise without the use of a computer.

After a spectrum is called from a disc and displayed at the CRT terminal, inversion is carried out if it is 180° out of phase. An elevenpoint moving quadratic least-square curve fitting is done when data smooth-

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ing is required. Pertiment coordinates are obtained with a cursor, which traces along the experimental curve. Differentiation helps to ascertain the field values of different absorption lines. Integration makes it possible to compare relative line intensities. It can also be used to assess the population of unpaired electrons.

A berizontal base line is restored for a slanting spectrum. Addition or subtraction of two or more curves clarifies superimposed sepectral lines. Central titration of a cyclohexadienyl-type free radicals spectrum is done by shifting the high and low field structures by measured amounts to the central components and then subtracting amounts from the components. This clarifies the composition of the central components.

Spectral simulations based on measured hyperfine coupling constants assure proper interpretation of the hyperfine splittings. Spectra constructed with theoretical coupling constants have predictive value, waiting for experimental confirmation. They may also help to clarify certain unidentified features on an experimental curve.

No claim is made that the programs or the analysis procedures are done in the most efficient manner. Continuous development and refinement are required to meet the need of future investigators.

For ESR studies, it is desirable to write programs that provide computer curve fitting. More precise experimental parameters may be obtained. Polycrystalline spectrum simulation from principal interaction constants can help to show the composite mix of the spectrum.

62

For general spectral analysis, automated computation of the absorption line peak and intensity is desirable. Smoothing that allows the user to select the number of points as well as the degree of the fitting polynomial should be useful. A general curve-fitting program that accepts users' specifications of function and variables should have broad applications.

63

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Abstract

12.

COMPUTER ASSISTED ELECTRON SPIN RESONANCE SPECTRAL ANALYSIS OF TRAPPED RADICALS

by Philip M. W. Law

A set of general purpose FORTRAN IV programs have been written during this study to assist in the analysis of complex spectra. An interactive approach is adopted, ultilizing the computer and graphics terminals of the Scientific Computation Pacility at Loma Linda University. Some procedures carried out are inversion, coordinates scaling, smoothing, differentiation and integration, correction for slanting baseline, addition and subtraction, and spectral simulation.

As an application, the programs are used to assist in the study of free radicals in gamma-irradiated single crystals of 1,2,3-benzene tricarboxylic acid dihydrate by electron spin remonance spectroscopy. It is found that the predominant free radical formed by gamma-irradiation of the acid crystals at room temperature, is a cyclohexadienyl-type free radical formed by the addition of a hydrogen at the C₄ or C₆ position. Principal hyperfine coupling constants are -11.58 Mix, -20.79 Mix, and -33.92 Mix for the C₅ proton. Isotropic hyperfine coupling constants are found to be -22.10 Mix for the C₅ proton, and 119.96 Mix and 137.54 Mix for the two methyleme prytong.